

CCL Winter Camp

Adaptive Placement of Aerial Base Stations: A Learning-based Approach

HOANG T. Linh

Ph.D. Student, Computer Communications Lab (CCL)

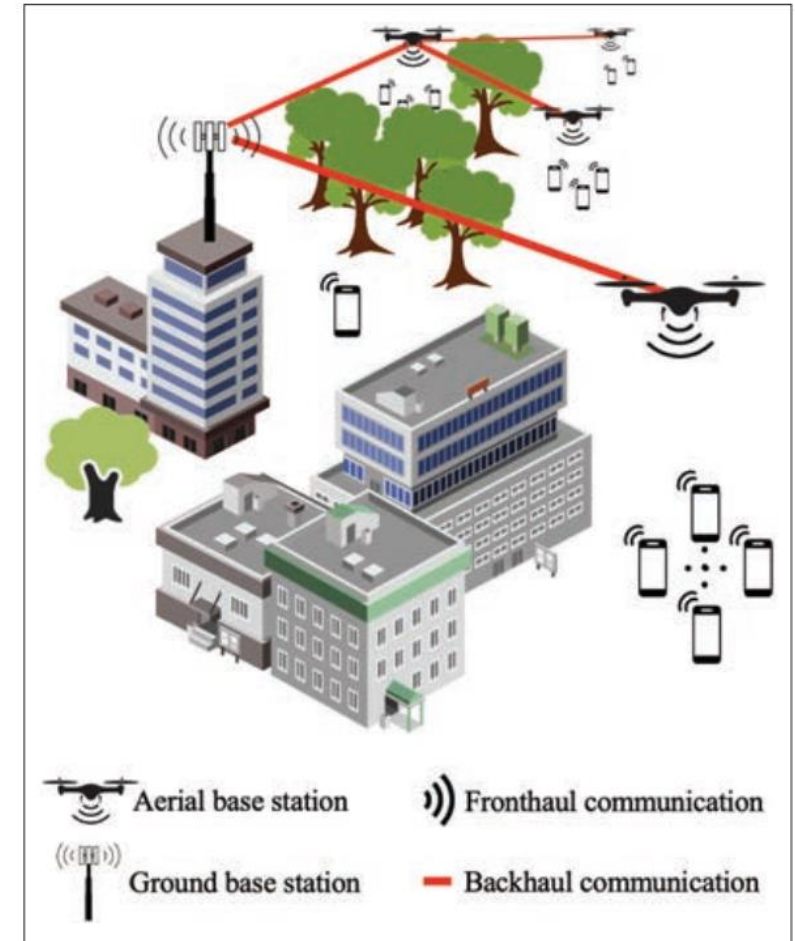
Inawashiro, March 9, 2023

Contents

- Adaptive Placement of Aerial Base Stations
- Modeling of the UAV-aided Communication System
- Problem Formulation and Transformation
- Deep Reinforcement Learning Framework
- Numerical Results
- Conclusions

Adaptive Placement of Aerial Base Stations

- **Aerial Base Stations (ABSs):** a promising technology towards high-quality services and ubiquitous coverage
- Potential Use Cases:
 - Remote areas
 - Large open-air events (concerts, carnivals, etc)
 - Natural disaster-affected areas
- Challenge:
 - **Place the ABS dynamically over time** in response to **time-varying network conditions** (e.g., spatial distribution of users)



ABSs connect with Ground Terminals (fronthaul connection) and the terrestrial infrastructure (backhaul connection) (P. Q. Viet et al., 2022)

Adaptive Placement of Aerial Base Stations

- Conventional approaches
 - Utilize spatial distribution of users to place the UAV accordingly
 - Treat users with unbalanced traffic equally
 - Become sub-optimal when the user traffic is highly heterogeneous (e.g., users with heavy workloads should be prioritized over those requesting minimal traffic)
- **Our study**
 - Aims at an effective method for **adaptive ABS placement**, by considering not only the **spatial information** but also the **specific network traffic** of each user in optimization
 - Develops a deep reinforcement learning (DRL) method for control of the UAV placement

Modeling of the UAV-aided Communication System

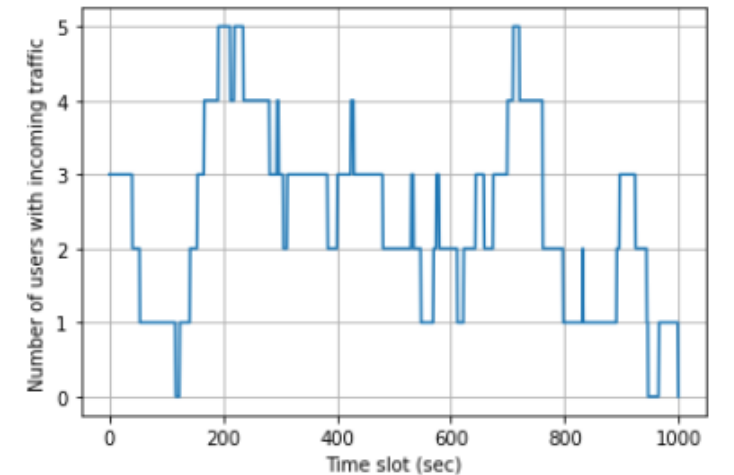
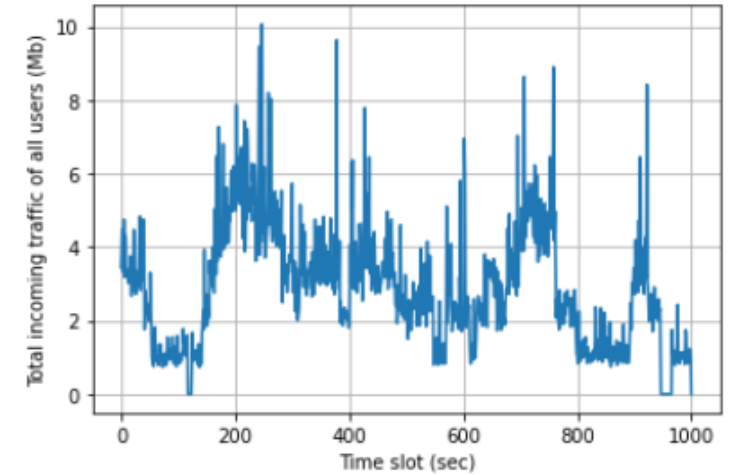
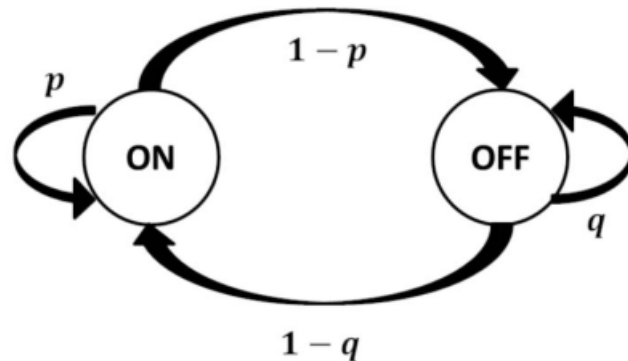
- A UAV is deployed as an aerial base station
 - **The UAV updates its horizontal location according to users' movement and download traffic while maintaining a static flying altitude**
 - The UAV connects to the core network via nearby terrestrial base stations
-
- **Queues** are maintained at the UAV to backlog incomplete downloads of users to facilitate the placement optimization
- Depending on the network condition, the user might need several time slots to fully receive the requested data

Modeling of the UAV-aided Communication System

- Modeling of User Traffic:
ON/OFF Traffic Model (M. Marvi *et al.*, 2019)
for File Transfer Protocol (FTP) service

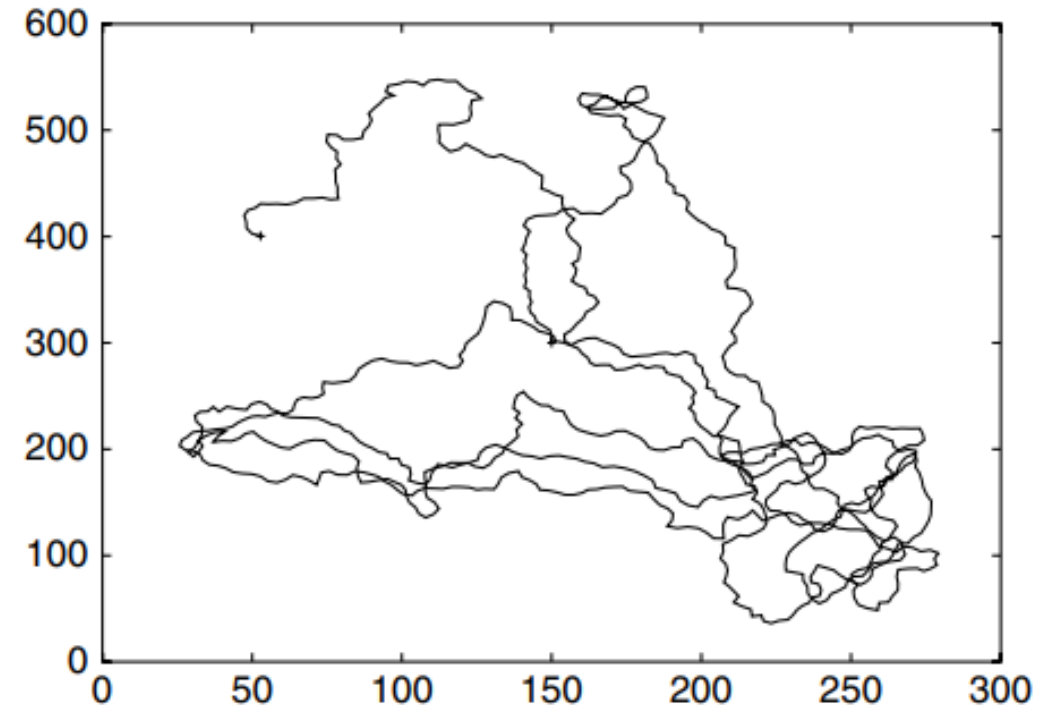
TABLE I: Details for ON and OFF states of FTP service [10]

State	Distributions and generated parameters
ON	Pareto distributed file size with mean λ , shape $\alpha = 1.5$, and scale x_m obtained through λ and α .
OFF	Exponentially distributed with mean μ_{OFF} in seconds.



Modeling of the UAV-aided Communication System

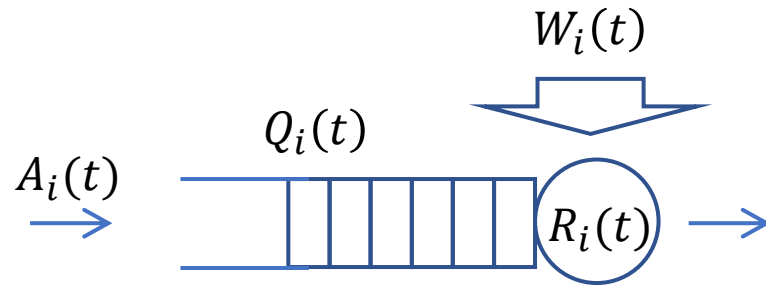
- Modeling of User Movement:
Gauss-Markov mobility model
(T. Camp et al., 2002)
- The **velocity** and **movement direction** of one user is **correlated over time** and modeled as a Gauss-Markov stochastic process



Traveling pattern of one user using the Gauss-Markov Mobility Model (T. Camp *et al.*, 2002)

Modeling of the UAV-aided Communication System

- Download Queue:



$$Q_i(t + 1) = \max \{Q_i(t) + A_i(t) - R_i(t)\tau, 0\}$$

$Q_i(t + 1)$: Queue length for user i at time $t+1$

$A_i(t)$: newly-requested traffic of user i at time t

$R_i(t)$: Downloading rate (Mbps) of user i at time t ,
depending on the comm. distance
between the user and the ABS at time t

$W_i(t)$: Fluctuation of channel condition
(due to user movements)

- Downlink Throughput:

The total volume of downloaded files of user i

$$\bar{D}_i = \lim_{T \rightarrow \infty} \frac{\sum_{t=0}^{T-1} \min \{Q_i(t) + A_i(t), R_i(t)\tau\}}{\sum_{t=0}^{T-1} \mathbb{1}_i(t)}$$

The equation is annotated with dashed boxes: a red dashed box encloses the numerator, and a blue dashed box encloses the denominator. Dotted arrows point from the text above to the red box and from the text below to the blue box.

The total active time (for downloading) of user i

$\mathbb{1}_i(t) = 1$ if in time slot t , there exist some files that have been requested but not yet downloaded by user i (i.e., $Q_i(t) + A_i(t) > 0$), and vice versa

Problem Formulation and Transformation

Problem Formulation:

$$\mathbf{P} : \max_{\mathbf{p}_s} \sum_{i \in \mathbb{N}} \bar{D}_i \quad (6a)$$

$$\text{s.t.} \quad \lim_{T \rightarrow \infty} \frac{1}{T} \sum_{t \in \mathbb{T}} \sum_{i \in \mathbb{N}} \mathbb{E}[Q_i(t)] < \infty, \quad (6b)$$

$$\|\mathbf{p}_s(t+1) - \mathbf{p}_s(t)\| \leq v_{\max} \tau, \forall t \in \mathbb{T}, \quad (6c)$$

$$\mathbf{p}_s(0) = \mathbf{0}; -R \leq x_s(t), y_s(t) \leq R, \forall t \in \mathbb{T}, \quad (6d)$$

(The original multi-stage stochastic optimization)

- (6a) Maximizes the long-term download throughput experienced by all users
- (6b) Ensures all download queues are *strongly stable* (M. J. Neely, 2010)
- (6c) Constraint on the UAV's maximum horizontal speed
- (6d) Enforces the UAV to fly in the designated area

Transformed Problem:

- To maximize the long-term throughput while ensuring queue stability, we maximize the upper bound of the Lyapunov drift-minus-reward as

$$\mathbf{P}' : \max_{\mathbf{p}_s(t)} - \sum_{i \in \mathbb{N}} \left[(Q_i(t) + A_i(t)) R_i(t) \tau + V \min \{Q_i(t) + A_i(t), R_i(t) \tau\} \right] \quad (9a)$$

s.t. (6c), (6d)

(The transformed per-time slot optimization)

- V is the importance factor of maximizing the throughput to ensuring queue stability
- Online optimization: (9a) only takes the current network situation as the input. Future knowledge of user movement and traffic arrival is not required.

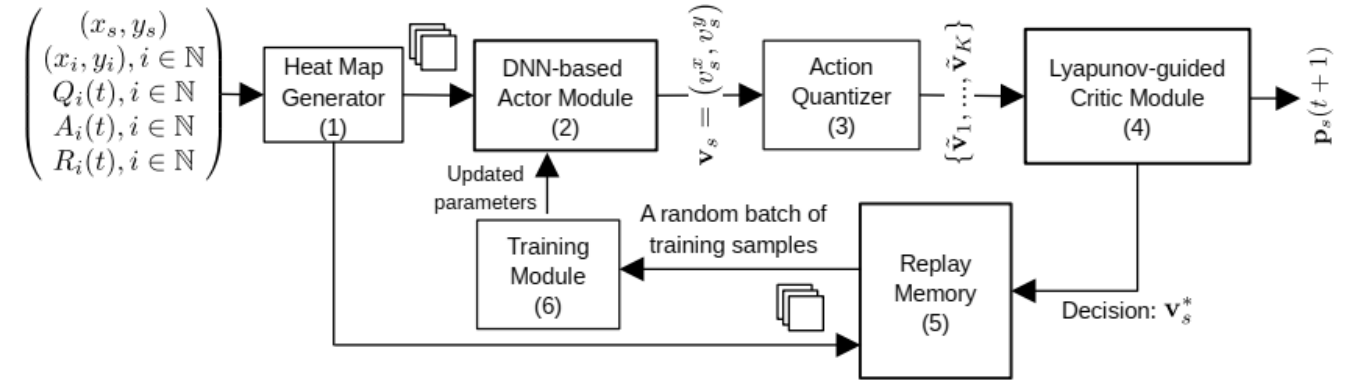
Deep Reinforcement Learning Framework

- Input:

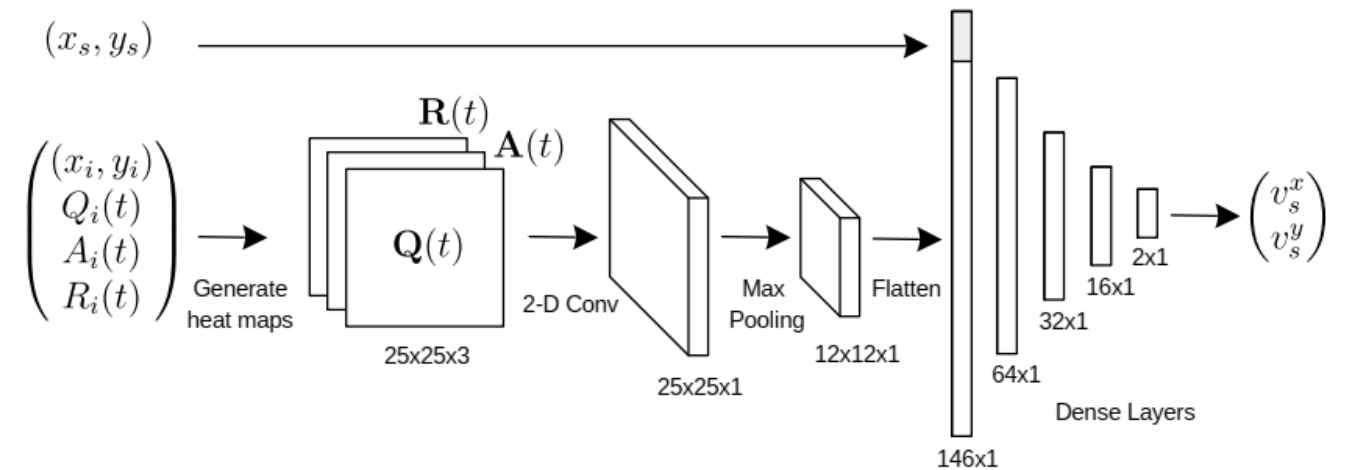
- The UAV's location
- User's statistics (location, queue length, and traffic arrival)

- Output:

- The UAV's velocity on the x- and y-axis \rightarrow the travel distance and movement direction



(a) Schematic of the proposed DRL-based method



(b) Details of the DNN-based actor module

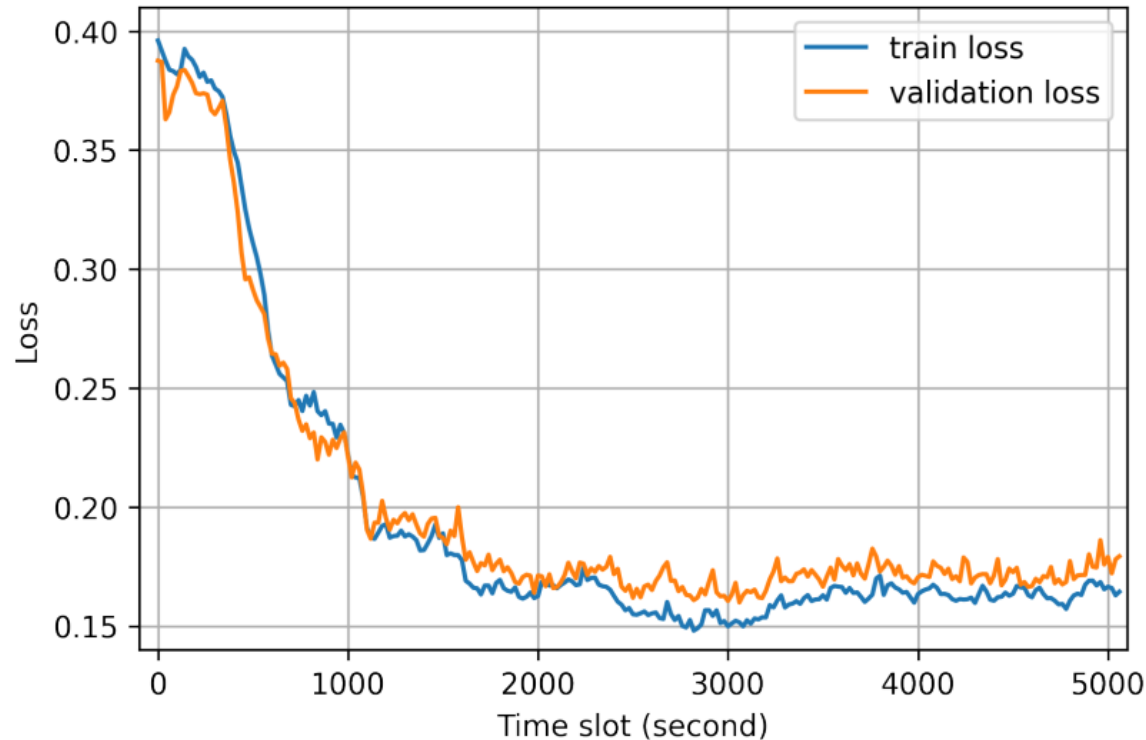
Simulation Setup

Simulation Parameter	Value
Number of users	5
The UAV's coverage area	500m x 500m
The grid size of heat maps	20m x 20m
Average movement speed of the user	1.5 m/s
Maximum horizontal speed of the UAV	5 m/s
Traffic arrival rate during the ON state	1.2 Mbps
Traffic state duration (ON/OFF)	100 s
Time slot length	1 s
Learning rate	0.001

Benchmarking schemes:

- 1. Fixed at the center:** The UAV is stationary at the area's center, denoted as point O
- 2. Centroid of all users:** The UAV moves toward the centroid of all users during the process

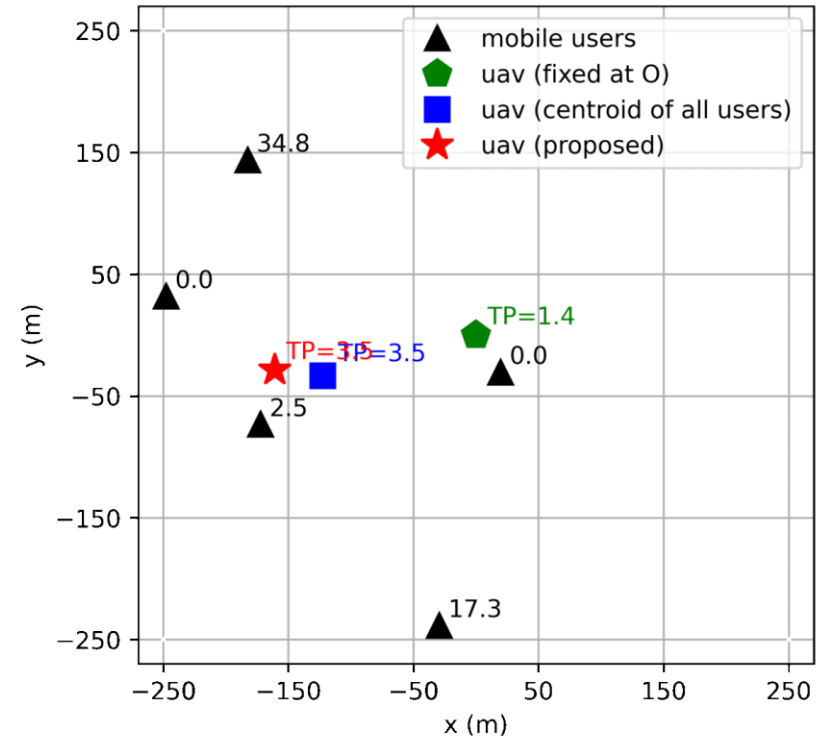
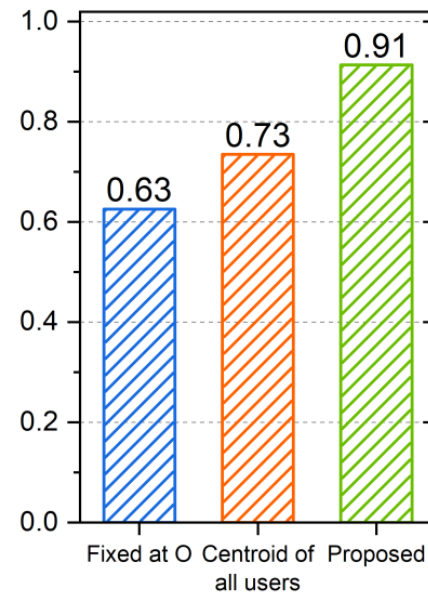
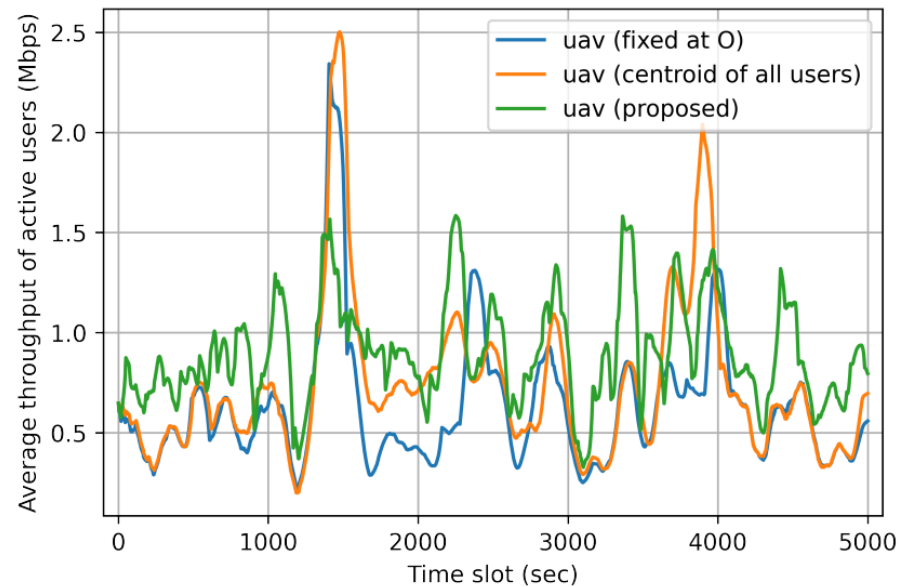
Numerical Results: DNN Training



Training and validation loss of the DNN

- The DNN gradually outputs better movement decisions for the UAV through training
- The convergence trend is clear after 2000 time slots

Numerical Results: Downlink Throughput

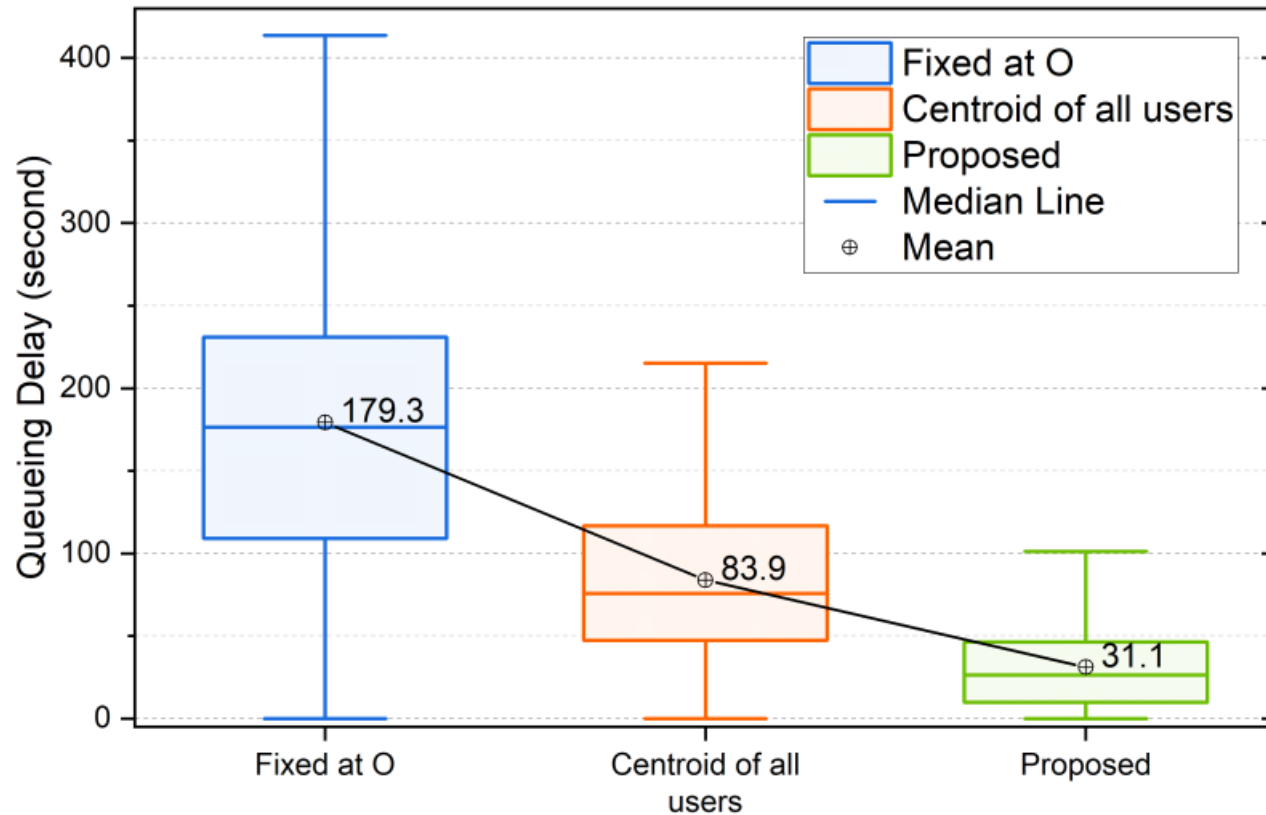


*(Left) Download throughput of active users over time, rolling average with an interval of 120 s
(Right) All-time average of one user's download throughput, arrival rate = 1.2 Mbps*

Locations of the UAV and users over time

- Numbers next to user markers denote the user's requested data in Mb*
- Annotations of colored markers (e.g., TP=3.6) indicate the download speed (Mbps) provided by corresponding UAVs*

Numerical Results: Queueing Delay

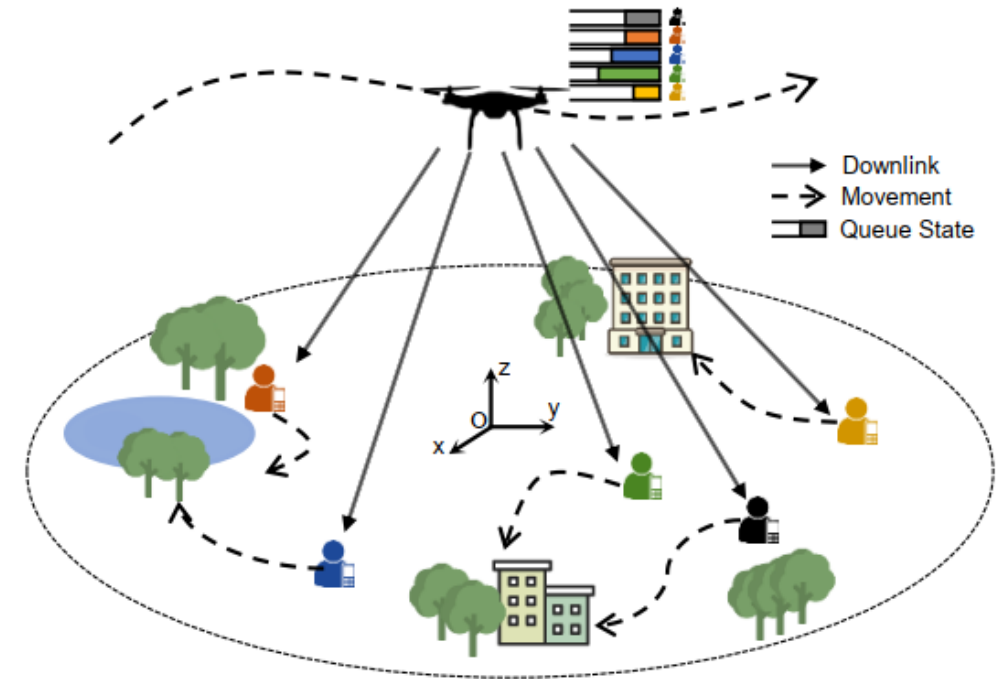


The average delay experienced by users of the proposed method is just 17.3% and 37.1% of the two benchmarks, Fixed at O and Centroid of all users, respectively

*Queueing delay experienced by users;
Number inside the boxes denote the mean values*

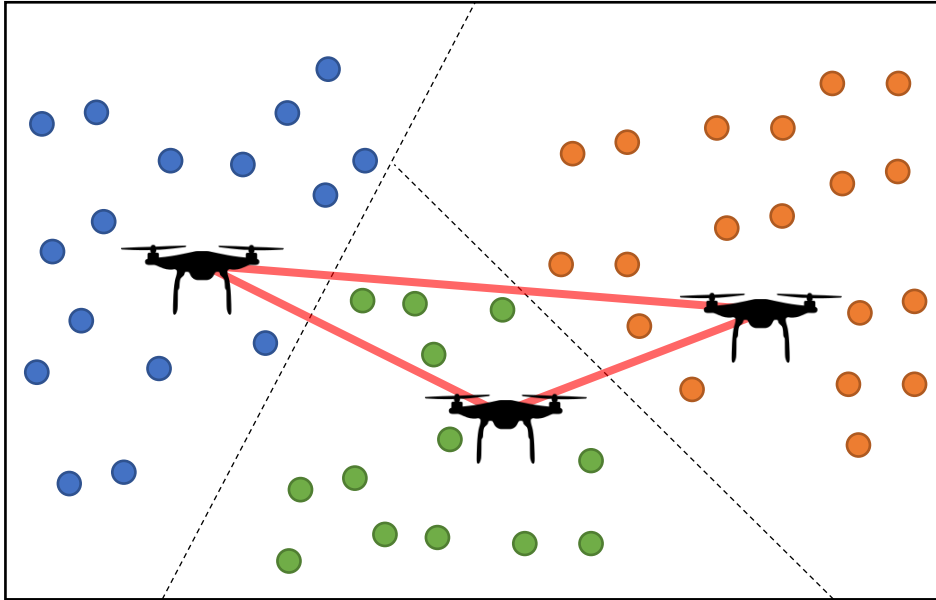
Simplified Points in the current research

- While there is a constraint about the UAV's maximum velocity, the UAV's **propulsion energy** was omitted
- A small network was considered with **a few users** and **a single UAV**
- The rotary-wing UAV has strict requirements on the size, weight, and power (SWaP)
 - The coverage area of one UAV is limited
 - **Co-operation of multiple UAVs**
- Only the **downlink traffic** was considered



The considered UAV-aided communication system

Future Work



A large network with many mobile users and several UAVs, considering both uplink and downlink with mixed traffic

Maximize Long-term Throughput (**QoE-oriented**)
Subject to Maximum horizontal speed of the UAV
Queues are strongly stable
The UAVs operate within the target area
Long-term propulsion energy $\leq E_{avg}$
 $d_{min} \leq$ **Distance between two UAVs** $\leq d_{max}$
Instantaneous Channel capacity of one user $\geq C_{min}$

Optimization variables:

- User Clustering
- UAV Placement

Conclusions

- The research aims at an effective method for **adaptive ABS placement**, by considering not only the **spatial information** but also the **specific network traffic** of each user in optimization
- We develop a deep reinforcement learning (DRL) framework that directly maps the network situation to the UAV movement using three heat maps of user's statistics (the queue length, newly-requested traffic, and channel condition)
- Simulation results reveal a great potential of DRL in flight control of the UAV in future research

References

- M. J. Neely, *Stochastic Network Optimization with Application to Communication and Queueing Systems*. Morgan & Claypool, 2010.
- M. Marvi, A. Aijaz, and M. Khurram, “On the Use of ON/OFF Traffic Models for Spatio-Temporal Analysis of Wireless Networks,” *IEEE Commun. Lett.*, vol. 23, no. 7, pp. 1219–1222, Jul. 2019.
- P. Q. Viet and D. Romero, “Aerial Base Station Placement: A Tutorial Introduction,” *IEEE Commun. Mag.*, vol. 60, no. 5, pp. 44–49, May 2022.
- T. Camp, J. Boleng, and V. Davies, “A survey of mobility models for ad hoc network research,” *Wirel. Commun. Mob. Comput.*, vol. 2, no. 5, pp. 483–502, Aug. 2002.

Thank you for your attention