CCL Winter Camp 2024

## Reinforcement Learning for Aerial Base Station Deployment in Satellite-Terrestrial Networks

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### **UAV-mounted Base Stations**

- A promising technology towards high-quality services and ubiquitous coverage
- Potential use cases:
  - Remote areas
  - Large open-air events (concerts, carnivals, etc)
  - Natural disaster-affected areas
- Challenge:

The UAV-BSs **automatically adjust their locations** over time in response to dynamics of the network situation (e.g., the user's spatial distribution)



ABSs connect with Ground Terminals (fronthaul connection) and the terrestrial infrastructure (backhaul connection) [1]

## Single-UAV Deployment

#### **UAV-aided Satellite-Terrestrial Networks**

Network scenario:

- One UAV-BS is deployed to complement the terrestrial base station (macro BS).
- The UAV-BS hold backhaul links with both the LEO satellite and the macro BS.
- Ground users are either served by the UAV-BS or the macro BS.

Problem Statement:

- Given a random distribution of users with unsatisfied data rates
- How the UAV-BS can complement the macro BS to provide better rates for this user set.



### Training with A2C Algorithm (1/2)

- **State**: the users' and UAV-BS's coordinates
- Actions: moving with 5 possible directions (1) northward,
  - (2) westward,
  - (3) southward,
  - (4) eastward,
  - (5) remain stationary (no movement)
- Reward:
  - +1 if d(t+1) > d(t),
  - -1 if d(t+1) < d(t),
  - -0.1 if d(t+1) = d(t)

(d(t): the average data rate of all users at time step t)



### Training with A2C Algorithm (2/2)

**A2C** = Advantage Actor-Critic [1], a policy-based RL algorithm

**Actor**: outputs logits for a categorical probability distribution over all possible actions.

• In training: update the actor's params to maximize the advantage function.

**Critic**: estimates the state-value function of the environment's state.

• In training: update the critic's params to minimize the difference between the observed and the predicted value functions.

Advantage function: how much better it is to take a specific action compared to the average, general action at the given state

[1] V. Mnih et al., "Asynchronous methods for deep reinforcement learning," in Proceedings of the 33rd International Conference on Machine Learning (ICML), vol. 48, 2016, p. 1928–1937.



#### A2C Algorithm: Training Results

The agent gradually forms better movement policy for the UAV-BS with higher rewards



The action selected by the agent gradually becomes less random (i.e., more intentional)



#### A2C Algorithm: Testing Results

To demonstrate the generalization ability of the agent after training, a trained A2C agent is tested to control the UAV-BS's movements in one single episode.

- In the test environment, the spatial distribution of users and the initial location of the UAV were set up randomly and not previously seen by the agent.
- The agent was not trained during the test.



Behavior of an A2C agent after 4 hours of training

# Multi-UAV Deployment

#### Multi-UAV Deployment

- Satellite-Air-Ground Integrated Networks (SAGIN): multiple UAV-BSs are deployed to complement the terrestrial BS.
- Take into account constraints of the **FSO-based backhaul links** with LEO satellites.
- Multiple UAV-BSs are expected to cooperate to efficiently serve the ground users → <u>Multi-agent RL</u>





### Multi-Agent Reinforcement Learning

Current setups:

- One single macro BS in the top-left corner.
- Three UAV-BSs cooperate to support the macro BS in providing high data rates for the ground users.
- The RL agent learns directly from image pixels and are required to cooperate with each other and with the macro BS.
- Simulation results: not yet available.



Illustration of the learning environment

#### References

- 1. R. S. Sutton and A. G. Barto, Reinforcement Learning, 2nd ed. The MIT Press, 2018.
- V. Mnih et al., "Human-level control through deep reinforcement learning," *Nature*, vol. 518, no. 7540, pp. 529–533, Feb. 2015.
- 3. J. Liu, Y. Shi, Z. Md. Fadlullah, and N. Kato, "Space-Air-Ground Integrated Network: A Survey," *IEEE Commun. Surv. Tutorials*, vol. 20, no. 4, pp. 2714–2741, 2018.
- A. Feriani and E. Hossain, "Single and Multi-Agent Deep Reinforcement Learning for Al-Enabled Wireless Networks: A Tutorial," *IEEE Commun. Surv. Tutorials*, vol. 23, no. 2, pp. 1226–1252, 2021.

## Thank you for your attention!

# Appendix

### **RL** Formulation

- An **agent** learning to interact with its **environment**.
- At each time step, the agent receives the environment's **state**, and the agent must choose an appropriate **action** in response.
- One time step later, the agent receives a **reward** (the environment indicates whether the agent has responded appropriately to the state) and a new state.
- The agent aim to maximize the **expected cumulative reward** (i.e., the expected sum of rewards attained over all time steps).



The agent-environment interaction in reinforcement learning. (Sutton and Barto, 2017)

#### State-Value Function and Bellman Equation

#### **State-Value Functions**

• The state-value function for a policy  $\pi$  is denoted  $v_{\pi}$ . For each state  $s \in S$ , it yields the expected return if the agent starts in state s and then uses the policy to choose its actions for all time steps. That is,  $v_{\pi}(s) \doteq \mathbb{E}_{\pi}[G_t|S_t = s]$ . We refer to  $v_{\pi}(s)$  as the value of state s under policy  $\pi$ .

#### **Bellman Equations**

• The Bellman expectation equation for  $v_{\pi}$  is:  $v_{\pi}(s) = \mathbb{E}_{\pi}[R_{t+1} + \gamma v_{\pi}(S_{t+1})|S_t = s]$ .

#### Optimality

• A policy  $\pi'$  is defined to be better than or equal to a policy  $\pi$  if and only if  $v_{\pi'}(s) \ge v_{\pi}(s)$  for all  $s \in S$ .

The discounted return (cumulative reward) at time t: $G_t := R_{t+1} + \gamma R_{t+2} + \gamma^2 R_{t+3} + \ldots$ 

#### **Action-Value Function and Optimal Policies**

#### **Action-Value Functions**

- The action-value function for a policy  $\pi$  is denoted  $q_{\pi}$ . For each state  $s \in S$  and action  $a \in A$ , it yields the expected return if the agent starts in state s, takes action a, and then follows the policy for all future time steps. That is,  $q_{\pi}(s, a) \doteq \mathbb{E}_{\pi}[G_t|S_t = s, A_t = a]$ . We refer to  $q_{\pi}(s, a)$  as the value of taking action a in state s under a policy  $\pi$  (or alternatively as the value of the state-action pair s, a).
- All optimal policies have the same action-value function  $q_*$ , called the **optimal action-value function**.

#### **Optimal Policies**

• Once the agent determines the optimal action-value function  $q_*$ , it can quickly obtain an optimal policy  $\pi_*$  by setting  $\pi_*(s) = \arg \max_{a \in \mathcal{A}(s)} q_*(s, a)$ .

The discounted return (cumulative reward) at time *t*:  $G_t := R_{t+1} + \gamma R_{t+2} + \gamma^2 R_{t+3} + \dots$ The problem now is how to estimate the optimal value function q\*(s,a)