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# Throughput Analysis of TCP Cubic over 5G mmWave Networks

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Research seminar

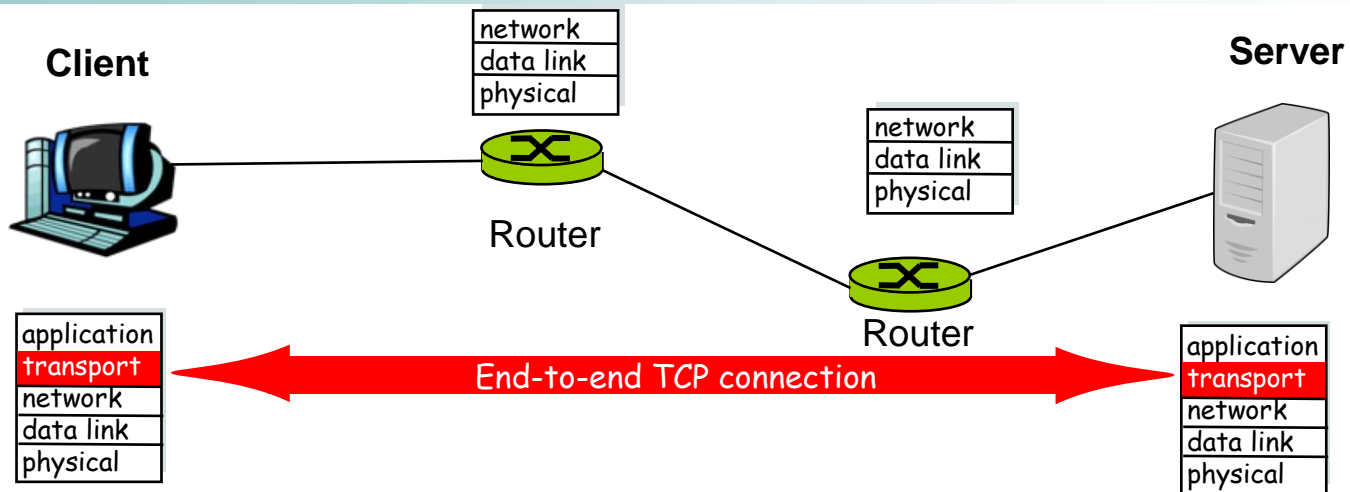
Jun. 26, 2019

# Outline

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- **TCP Review**
  - Congestion control
  - TCP Cubic
- **TCP in 5G mmWave networks**
  - Challenging issues
  - Recent works
- **My study**
  - Proposals

# Part I - TCP: Transmission Control Protocol



- TCP offers end-to-end reliable data delivery through network

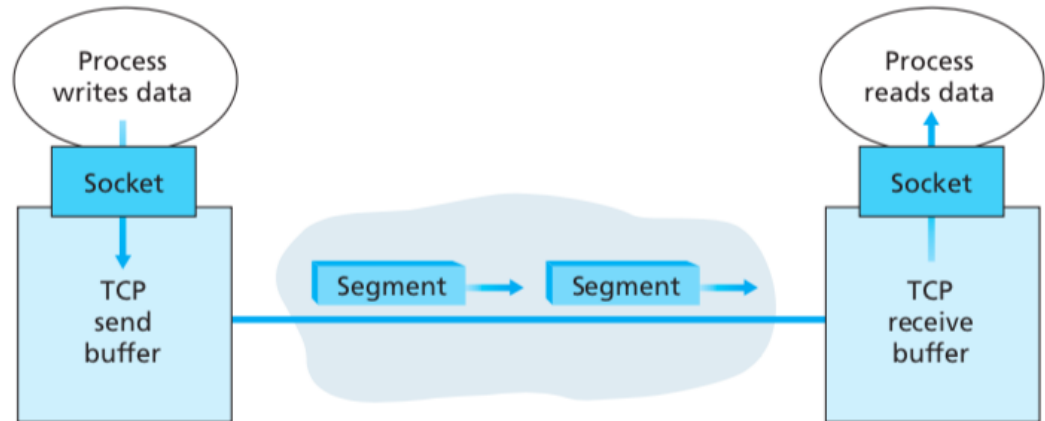
No errors or losses, and all are delivered in order that they were sent

- TCP is the most popular protocol for many Internet applications, e.g., email, telnet, file transfer, ...

# Some Services of TCP

Source: Computer Networking: A top-down approach

Fig. 1. TCP send and receive buffers



## ○ Some services of TCP:

→ Connection management: three-way handshake

→ Reliable (retransmission), in-order delivery

→ Flow control: controls the traffic between sender and receiver

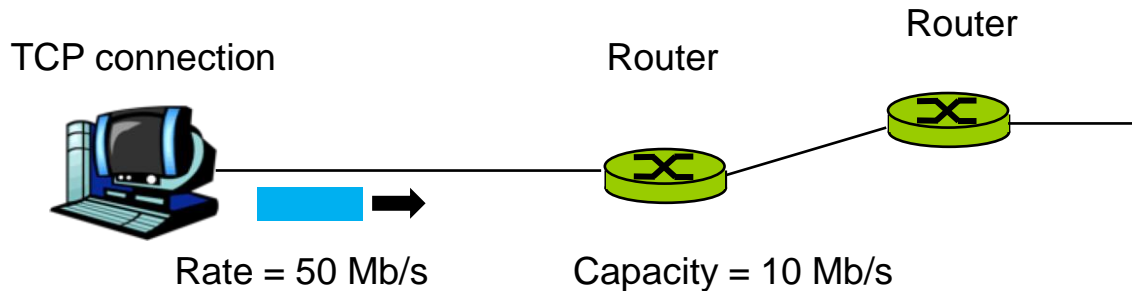
→ **Congestion Control:** control the traffic entering the networks

**main focus**

# Congestion

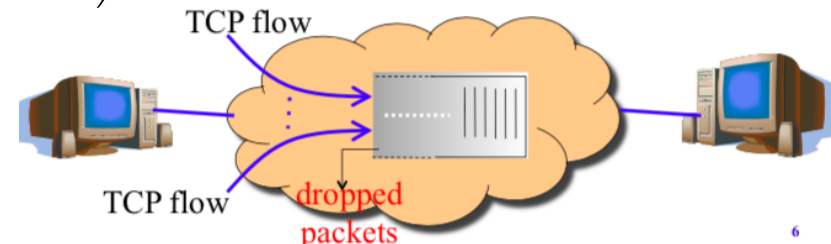
- What is the congestion?

- Load on the network is greater than the capacity of network
- E.g.



- What do Routers do?

- Drop the packets (clarify the packet loss)



- Consequence?

- Waste bandwidth for retransmission, delay

➡ **Need a congestion control mechanism**

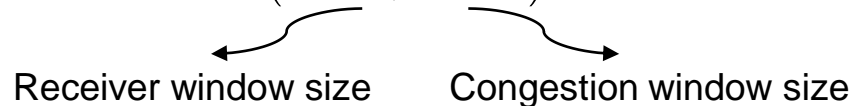
# TCP Congestion Control

## ○ Purpose of congestion control?

- Keep senders from overloading the network (keep the load below the capacity)

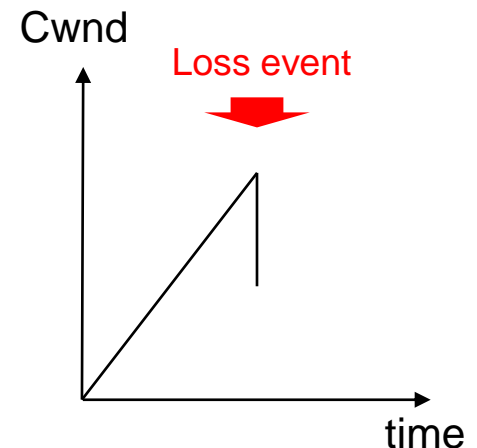
## ○ Congestion window size

- Maximum data (segments) can be transmitted
- Actual window size =  $\min(\text{rwnd}, \text{cwnd})$



## ○ How it works?

- Adjust the congestion window size
- Increase the window size upon not detecting congestion
- Decrease the window size if detecting the congestion



# Phases of Congestion Control

- *Slow start phase* (start a transmission):

- Exponential increase
- Initially  $cwnd = 1$  MSS (maximum segment size)
- Double  $cwnd$  every RTT (round-trip-time)

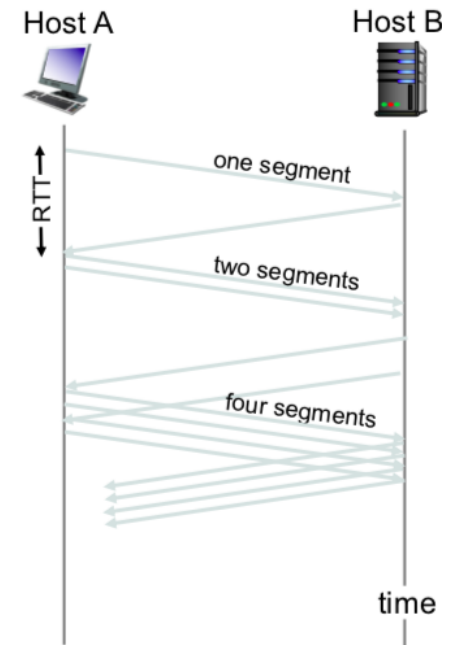
➔ Happen at a very short time duration

- *Congestion avoidance phase*:  $cwnd > threshold$

- *Congestion detection and recovery phase*: congestion happens

- Indicated by either time-out or triple duplicate ACK
- Reduce  $cwnd$

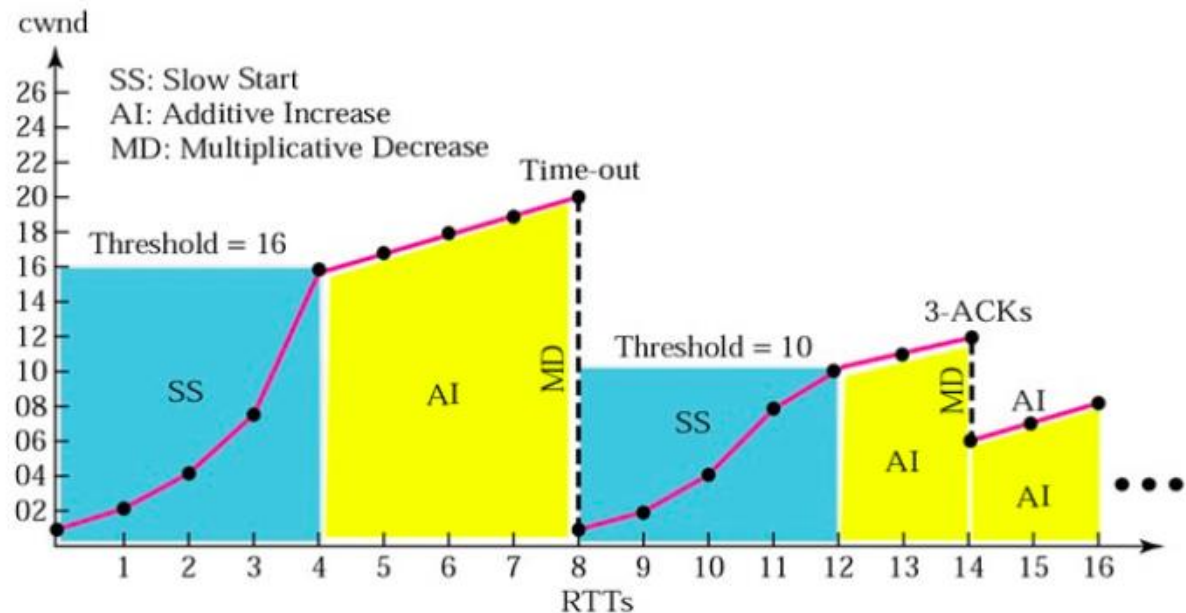
➔ Traditional TCP variants such as Tahoe, Reno, use the AIMD (additive increase – multiplicative decrease) algorithm



# TCP-based AIMD

- Congestion avoidance phase: additive increase
  - Increase the rate linearly:  $\text{cwnd}_{\text{current}} = \text{cwnd}_{\text{previous}} + 1$
  - Until reach the maximum cwnd
- Congestion detection phase: multiplicative decrease
  - Decrease the current cwnd by a half:  $\text{cwnd}_{\text{current}} = 0.5 \times \text{cwnd}_{\text{previous}}$

## Example

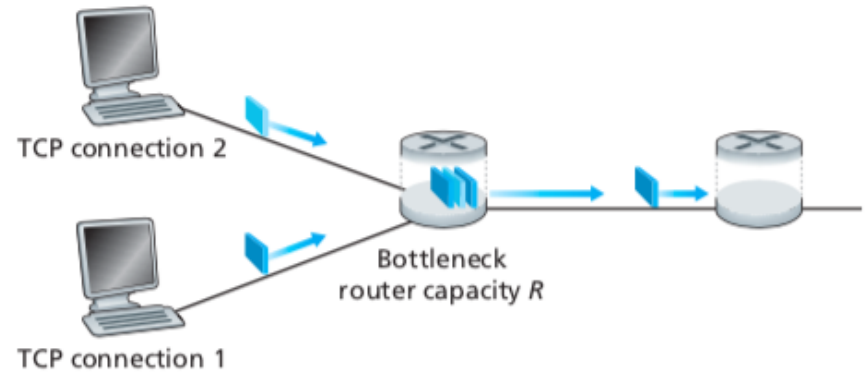




# Drawback of AIMD

## ○ (1) Fairness issues

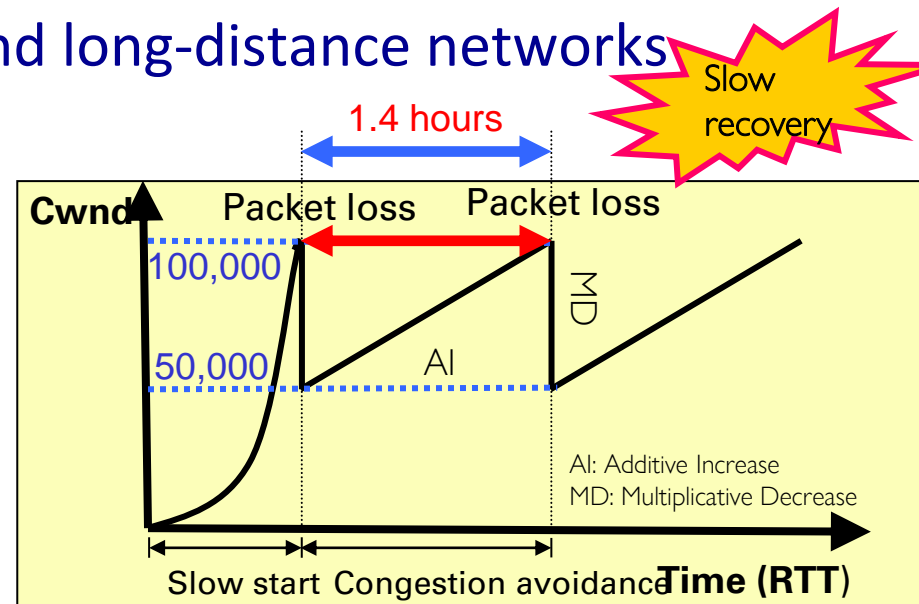
- $RTT$  of flow 1  $>$   $RTT$  of flow 2
- Flow 2 receives ACKs faster
- $cwnd$  of flow 2 grows faster



## ➔ Unfairness between TCP flows

## ○ (2) Inefficiency in high-speed and long-distance networks

- $BW = 10$  Gbps
- $RTT = 100$  ms
- Packet size = 1250 bytes
- $BDP$  of path = 100,000 packets
- Full-utilized = 100,000 packets
- Half-utilized = 50,000 packets



# TCP Cubic

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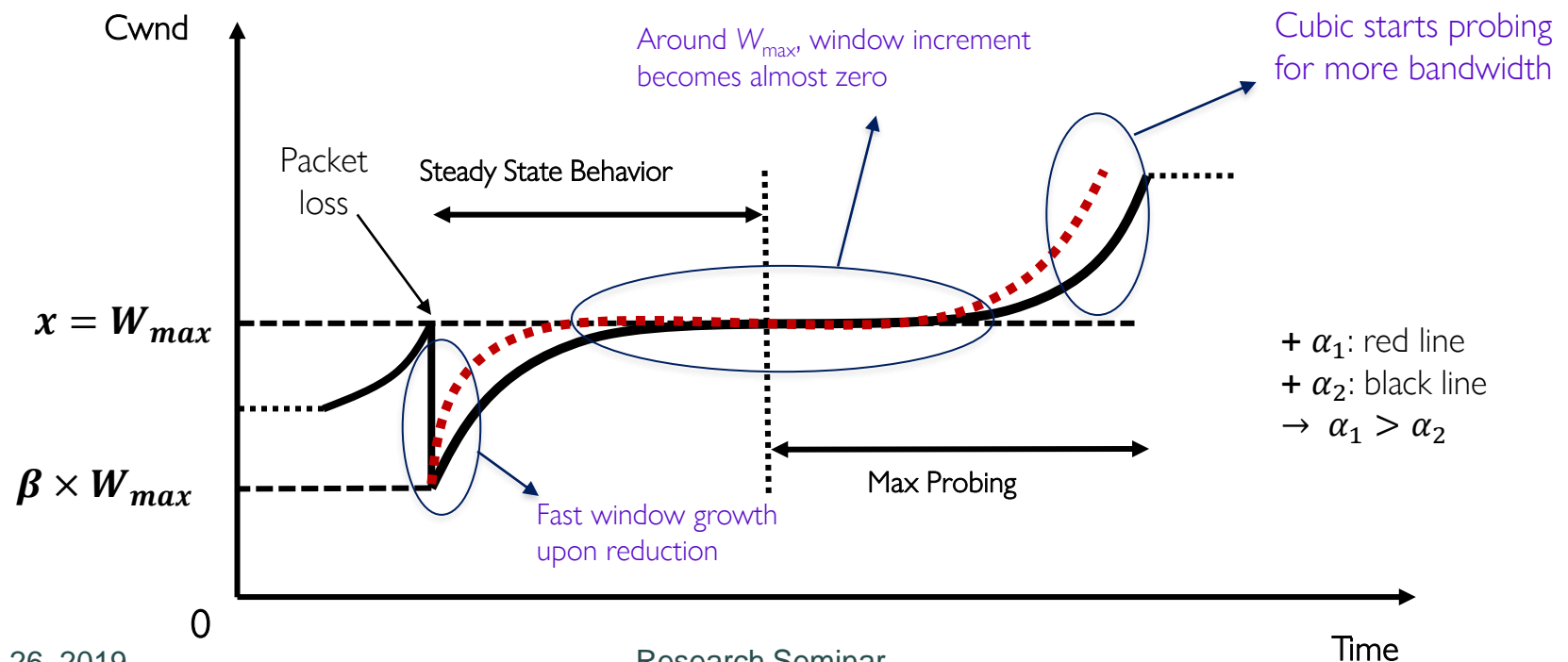
- Cubic is specifically designed for high-bandwidth and long-distance networks
- Default TCP in Linux (kernels 2.6.19 and above)
- The congestion window control is a Cubic function defined in real-time since the last congestion event
  - Independent with RTT: fairness between TCP flows (TCP friendliness)
  - Cubic increases its cwnd aggressively when it is far from the previous saturation point (last loss event) and more slowly when it is close
    - Stable and scalable

# Cubic Congestion Control

- The window growth function of TCP Cubic

$$w(x, \tau) = \alpha \left( \tau - \sqrt[3]{(1 - \beta)x/\alpha} \right)^3 + x,$$

Where  $\alpha$ : Cubic factor,  $\tau$ : elapsed time from the last window reduction,  $\beta$ : multiplicative decrease factor, and  $x$ : window size just before the last window reduction.



# Pros and Cons

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- **Pros:**

- Fairness between TCP flows: independent of RTT
- Scalability and stability: Cubic increases cwnd to last window reduction quickly, keep it there longer, and then grow quickly to find more BW
- High utilization in high-speed and long-distance networks

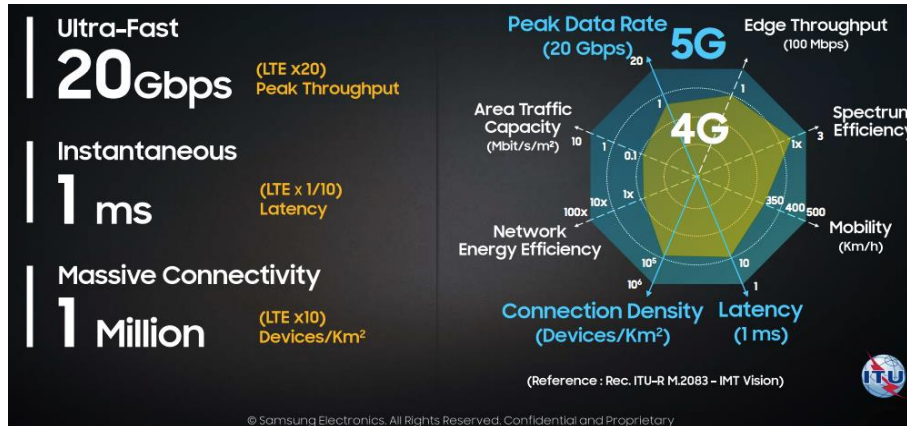
- **Cons:**

- Speed of react: it can be sluggish to find new saturation point if this point is far beyond the last one
- Window growth rate is slower than TCP-based AIMD when RTT is short

# Part II - 5G mmWave Networks

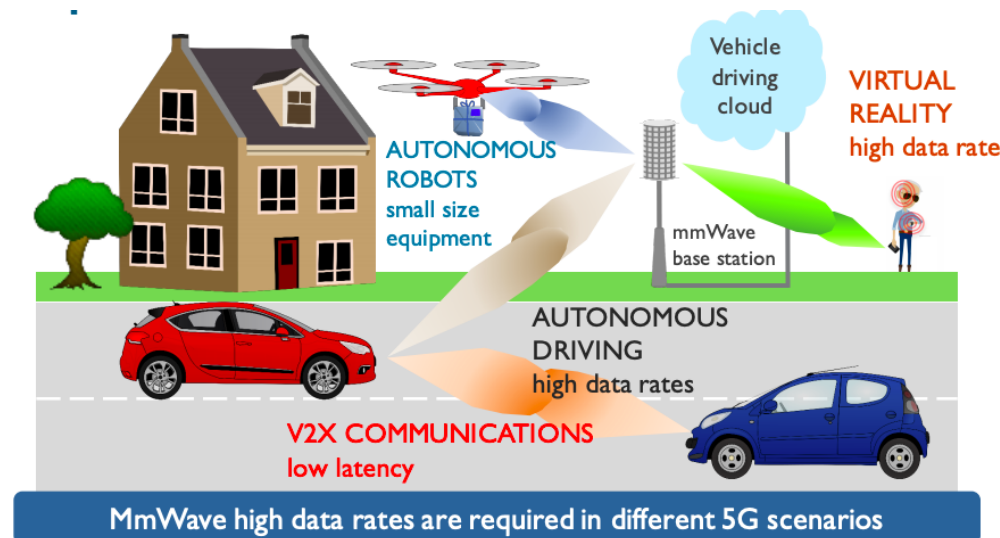
## ○ Key future of 5G

Source: Qualcomm



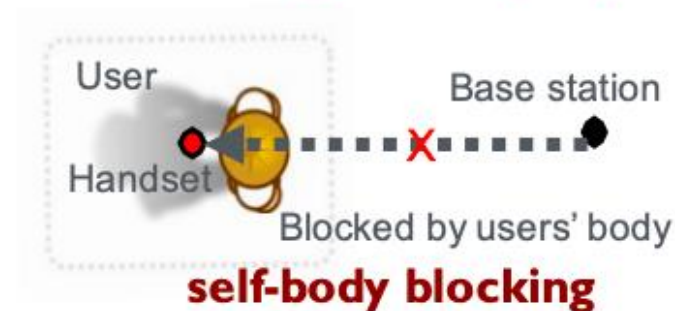
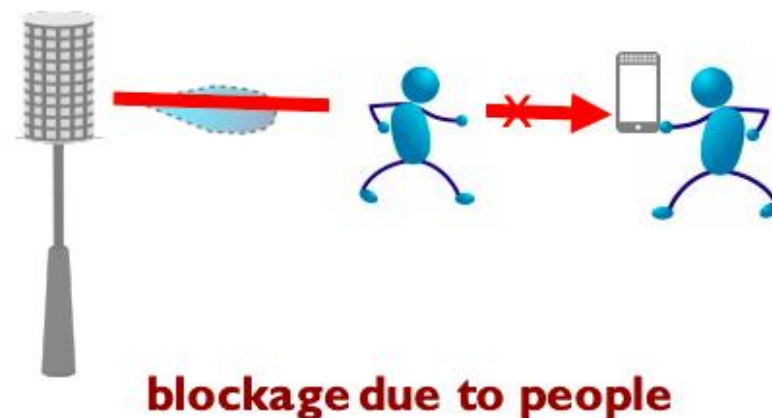
## ○ mmWave

- Short range service
- High data rate



# Major Channel Impairment

- Blockage is the main challenging issue of mmWave



▶ Pose various challenges in system design

# TCP over 5G mmWave Networks

- Most of works on 5G mmWave has focused on the physical and link layers, not so many studies on the performance of transport protocols over this kind of links

Source: [www.mmwave.dei.unipd.it](http://www.mmwave.dei.unipd.it)



- The performance of TCP is degraded by the fading mmWave channels (bandwidth fluctuation in LOS/NLOS transition)
  - As the transmission errors occur due to wireless link, the TCP may reduce its sending rate even if there is no actual congestion: *decrease throughput*
  - Long time to recover full throughput after an outage
  - TCP algorithm and parameters, that are proper for one environment, are not always suitable for other environments

**Need to investigate the performance of TCP in 5G mmWave Networks**

# Tools and Techniques

- How to investigate the performance of TCP?

	Scopes
Measurement	<ul style="list-style-type: none"><li>- Have a real measurement</li><li>- Real results</li></ul>
Network simulation	<ul style="list-style-type: none"><li>- Provides a convenient way to predict the performance when the proposed network is not available for measurement.</li><li>- Can incorporate more details than analytical modeling; results can be produced that are closer to the real ones</li></ul>
Analytical modeling	<ul style="list-style-type: none"><li>- Provide a good approximation of network performance with various channel conditions in a relatively quick fashion</li><li>- Convenience methods for design and optimization protocols</li></ul>

Source: M. Hassan and R. Jain. High Performance TCP/IP Networking: Concepts, Issues, and Solutions. Book 2003.

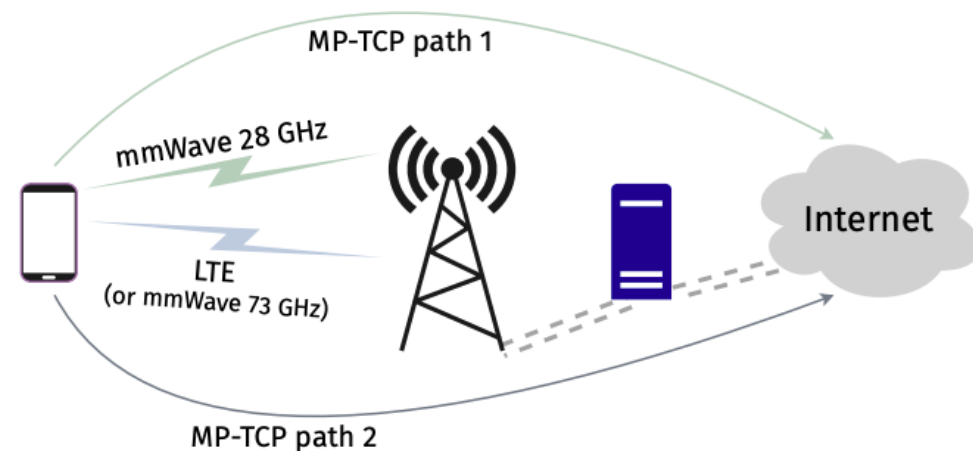


# Some Recent Works (1)

- Most of studies on TCP using network simulation (NS-3)
  - [1]. 2019. Will TCP Work in mmWave 5G Cellular Network
- Some papers are interested in Multipath TCP (MP-TCP)
  - [2] 2019. Optimal multipath TCP Offloading Over 5G NR and LTE Networks
  - Modern devices have multiple network interfaces
  - They use MP-TCP to provide *path diversity* in LTE + mmWave cellular networks

## Design goals

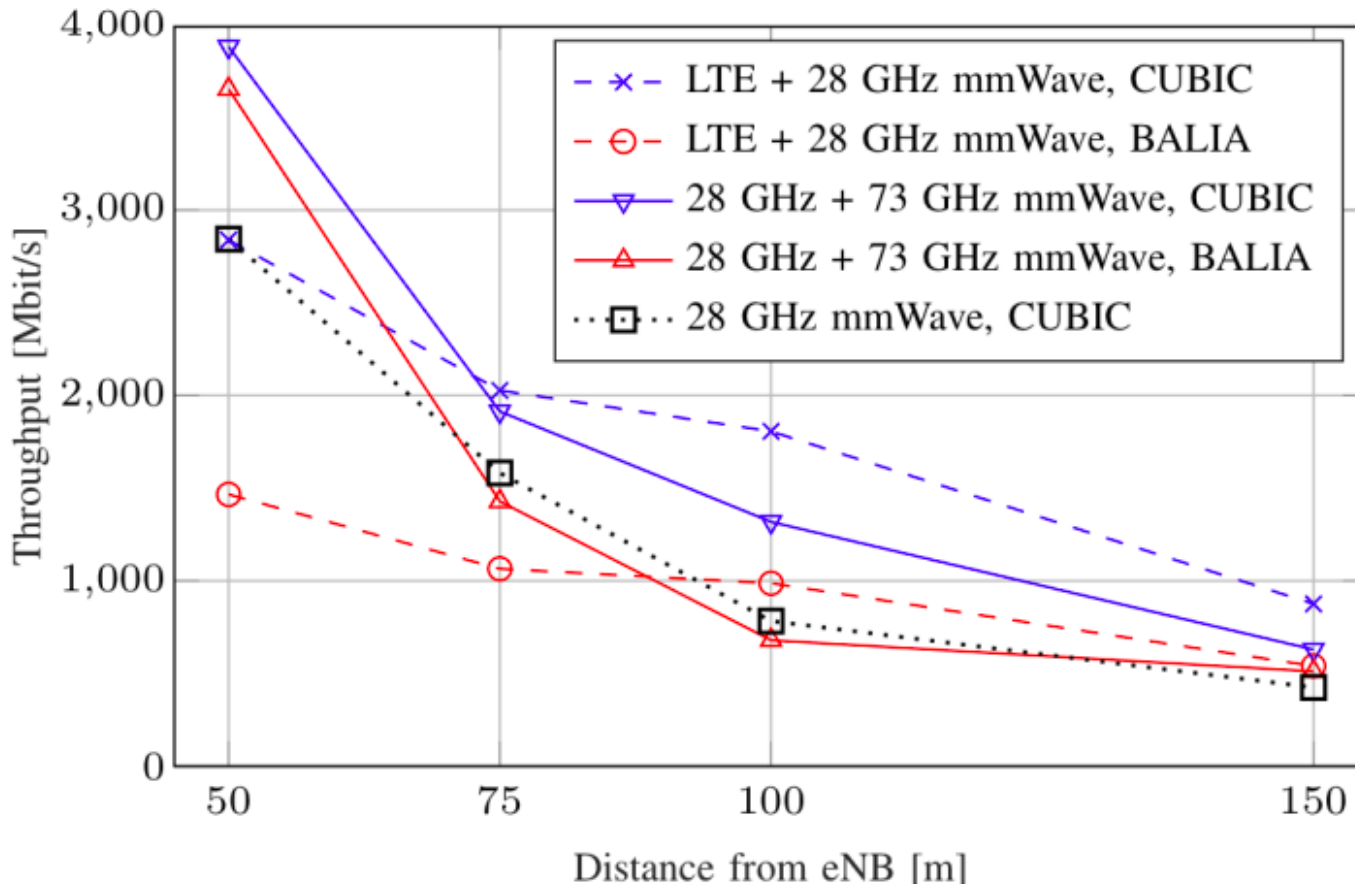
- **Improve throughput**: perform at least better than single path TCP
- **Avoid congestion**: prefer less congested paths among the available ones



# Some Recent Works (2)

- MP-TCP with single path TCP (TCP Cubic)

2017. TCP in 5G mmWave Networks: Link Level Retransmissions and MP-TCP



Note: BALIA – the state of art CC algorithm for MP-TCP, but based on the design of AIMD

# Some Recent Works (3)

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- The current available CC algorithm do not respect MP-TCP design goals
  - [3]. 2017. TCP in 5G mmWave Networks: Link Level Retransmissions and MP-TCP
- The performance of TCP Cubic is highlighted in term of throughput
  - [4]. 2019. Analysis of TCP Performance in 5G mm-wave Mobile Network



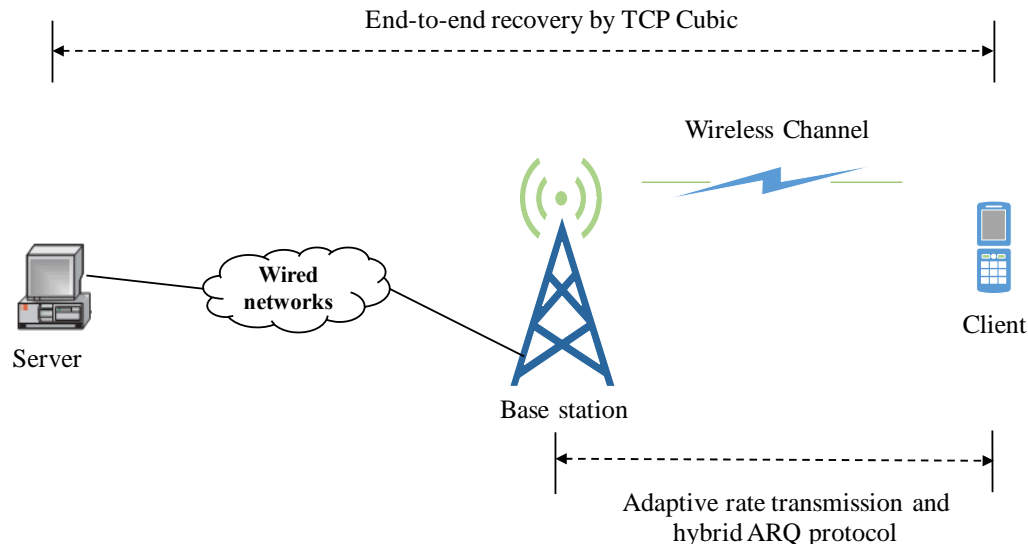
TCP Cubic is one of a candidate for 5G mmWave Networks



Lack of the analytical modeling of TCP Cubic

# Part III - My study

- We want to provide an analytical modeling of TCP Cubic over 5G mmWave networks (Throughput analysis)
- Consider the improvement taking the physical and link layer designs
  - Physical layer: adaptive rate transmission
  - Link layer: hybrid ARQ (simplest type)



# Data Structure

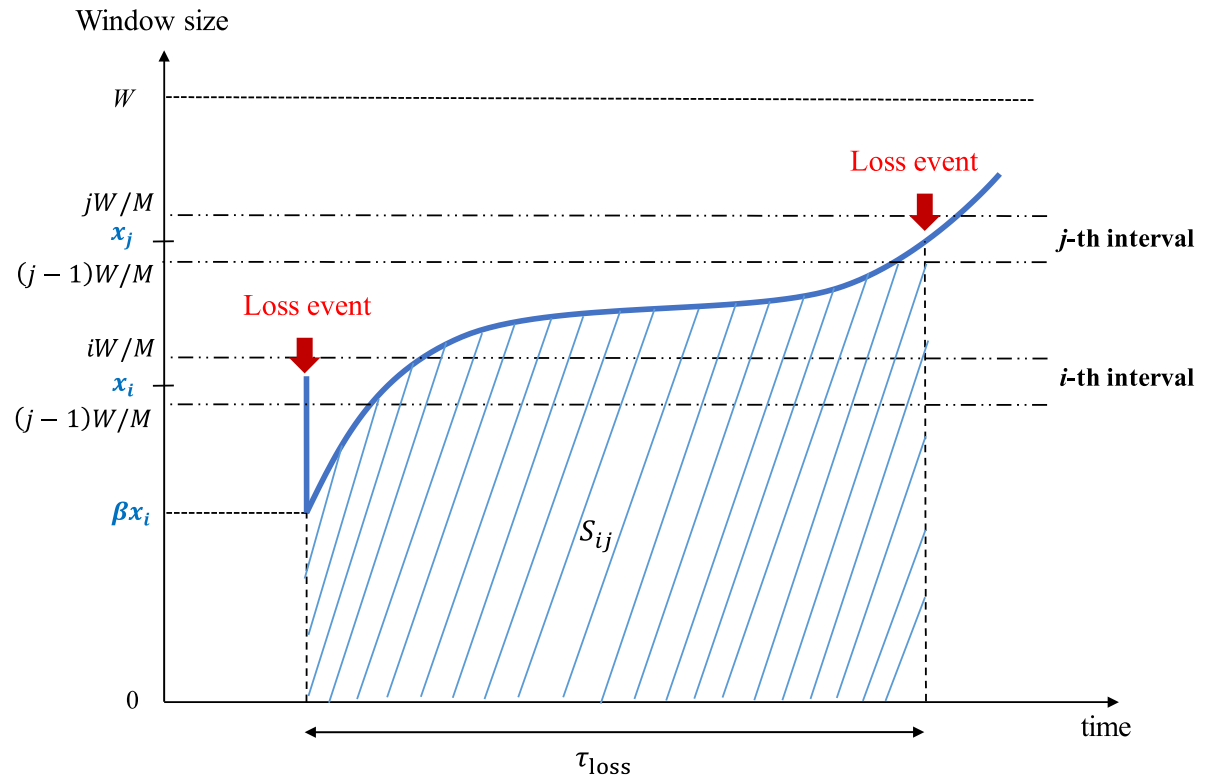
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- TCP: Segment
- Link-layer: Frame including CRC, FEC code
- Physical-layer: Burst including adaptive multiple frames depending on AR transmission

# How to Model the TCP Throughput?

- Study the congestion window evolution in terms of cycles
- Cycles: period between two consecutive loss events

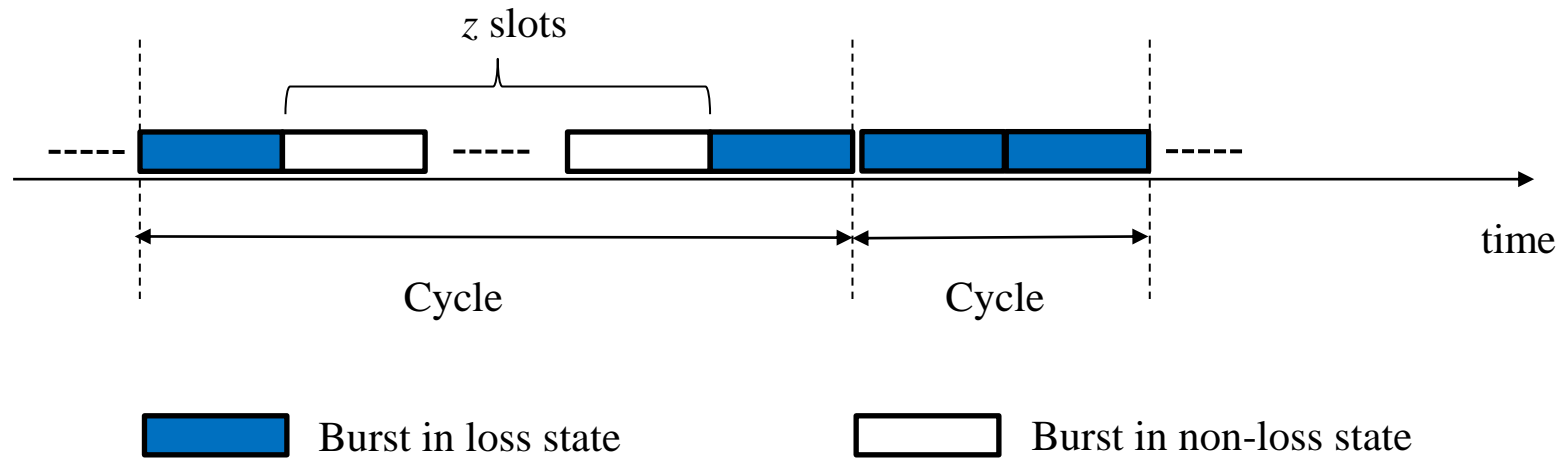
The range of possible cwnd  $[0, W]$  is partitioned into  $M$  intervals



Need to investigate the loss rate

# Loss rate

- To find the loss rate, we consider the burst transmission cycles

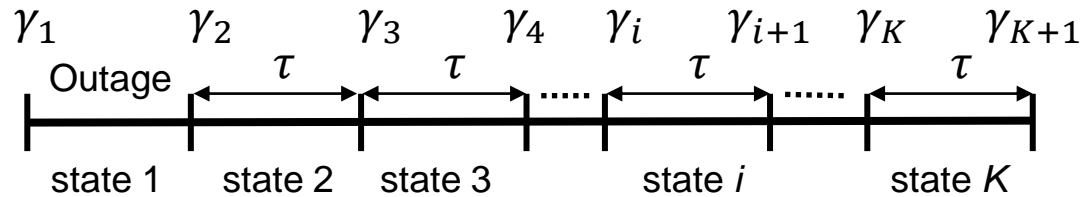


Build the burst loss model

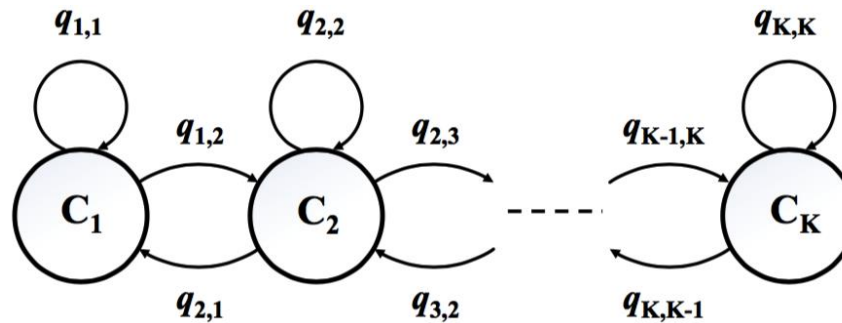
Consider the time-varying behavior of fading and channel modeling for burst transmission (Nakagami- $m$  is assumed)

# Channel modeling

- We model the channel into states whose average duration = burst time



Finite-state Markov chain to model the behavior of channel

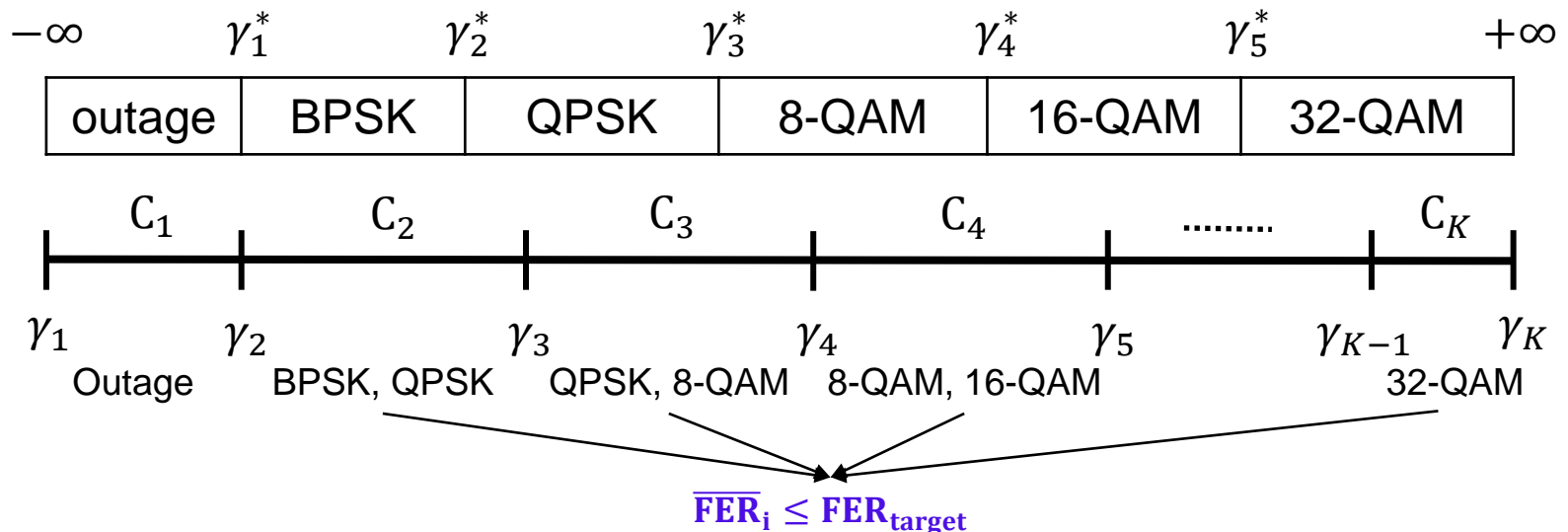


Use the channel model to develop the loss model



# Transmission modes for channel-state

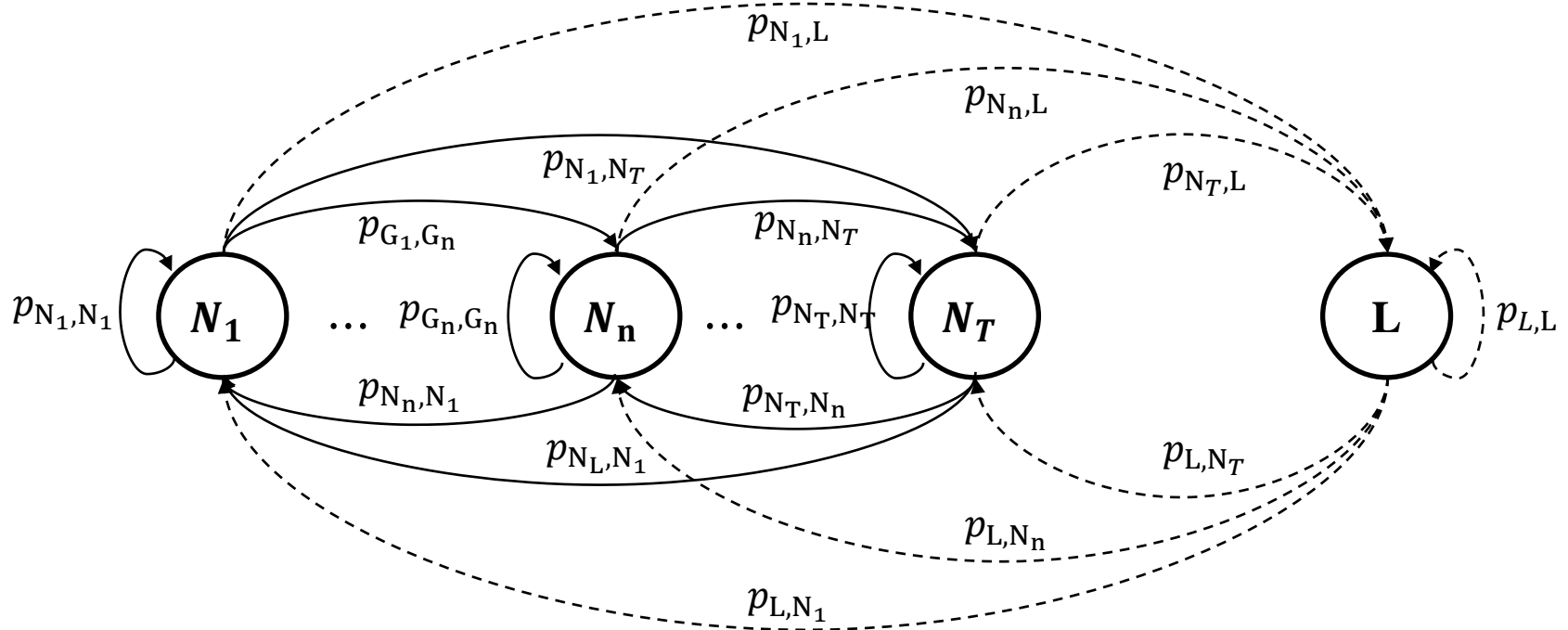
- How to decide transmission mode for each channel-state
  - The number of transmission modes
  - Decision rule
- Each state will be assigned a specific transmission mode
  - (1) highest data rate
  - (2) satisfy a pre-defined frame error rate ( $\text{FER}_{\text{target}}$ )



# Burst Loss Model

## ○ Burst loss

- Loss state (L): one of frame in burst is lost (reach a maximum number of retransmission of truncated HARQ)
- Non-loss state (N): none of frame in burst is lost



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Thank you for your attention!  
(Q&A)

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