# Joint Probabilistic Shaping and Precoding Design for MU-MISO VLC Systems

Nguyen Khac Thang Computer Communications Labs., The University of Aizu

Jan. 10, 2024

## Contents

- Backgrounds
- Research proposal
- Related works
- **Our** approach
- Initial results



- □ Visible light communication (VLC)
- □ Multi-user Multiple-Input Single Output VLC system
- □ Precoding Design
- Probabilistic Constellation Shaping

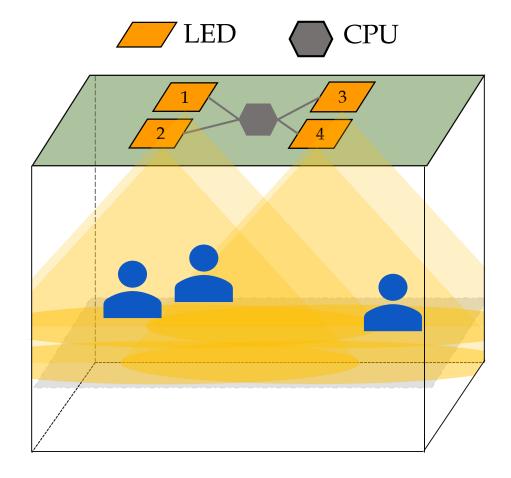
□ Visible light communication (VLC)



- ✤ Dual functionality
  - Illumination (primary)
  - Communication (secondary)
- Immunity to interference from other electromagnetic sources
- Environment friendly
  - Hospital
  - Airplane

VLC network is expected to support multiple mobile users

□ Multi-user Multiple Input Single Output VLC system (MU-MISO VLC)



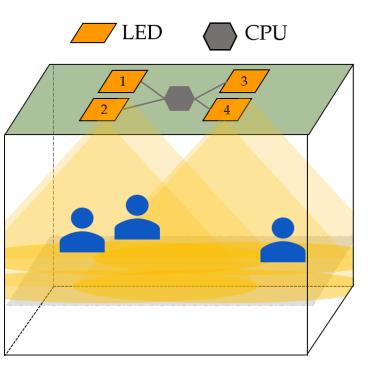
- $N_T$  LEDs simultaneously serve *K* users
- Each user is equipped with a single-photodiode (PD) receiver

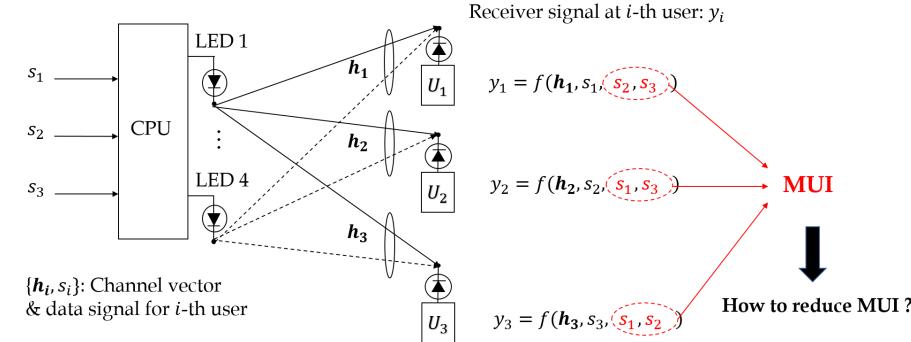
### A multi-user multiple input single output (MU-MISO) VLC broadcast system

#### Drawbacks:

- Multi-user interference (MUI)
- Optical power constraint (peak and average)

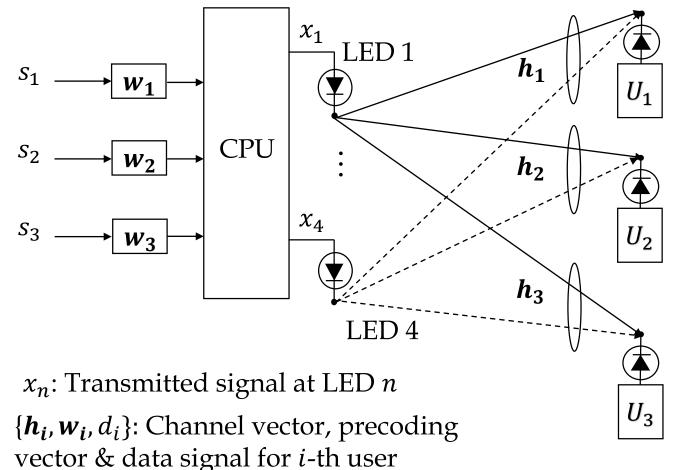
Multi-user interference (MUI)





## Precoding design

Linearly encoding  $s_i$  by a vector  $w_i$  to reduce the effect of multi-user interference at received signal



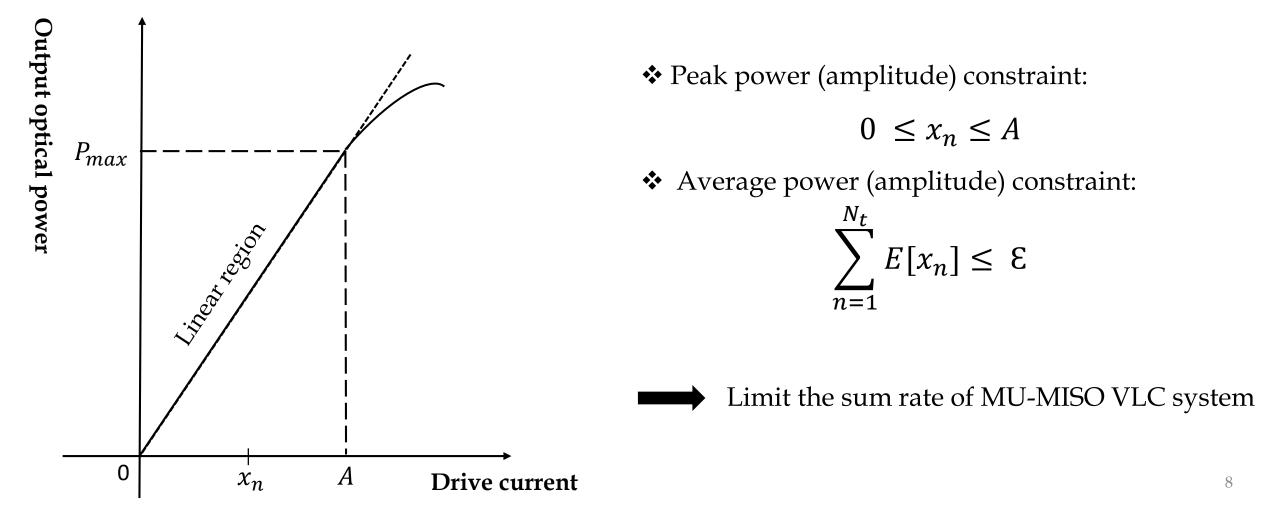
□ Received signal at the *i*-th user  

$$y_i = h_i w_i s_i + h_i \sum_{\substack{j=1, j \neq i \\ \downarrow}}^{K} w_j s_j + n_i$$

Multi-user interference (MUI)

□ By generate precoding vector *w* based on the channel state information, MUI can be eliminated

Optical power constraint

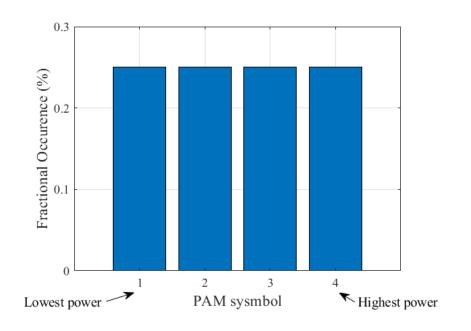


## Probabilistic constellation shaping (PCS)

PCS is an approach to enhance the sum rate under power constraints

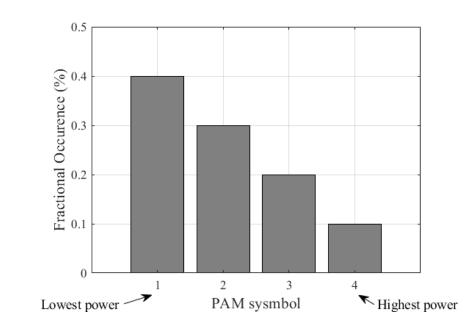
Standard transmission

• Each symbol transmitted with equal probability



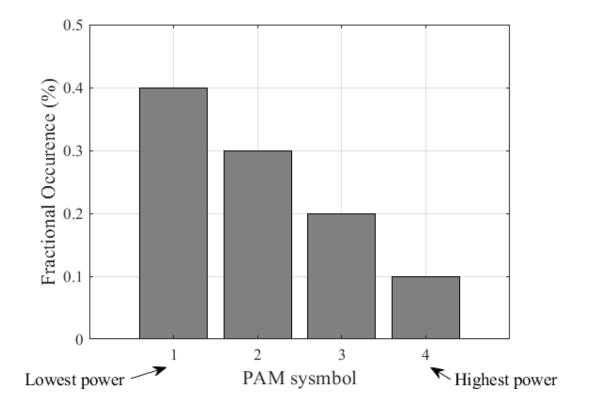
#### Shaped transmission

- Each symbol transmitted with different probability
- Higher power symbols transmitted less frequently



## □ Probabilistic constellation shaping (PCS)

- Each symbol transmitted with different probability
- Higher power symbols transmitted less frequently



- ✓ Average power decreases
- ✓ Reduce non-linear effects in LEDs



Improve the system's sum rate under the power constraints

## Related works

Reference	Main Contributions
[1] - 2022	Propose joint design of probabilistic M-PAM shaping and precoding to optimize the channel capacity of the MISO-VLC system with one user
[2] - 2022	Propose a novel adaptive coded spatial modulation scheme with probabilistic M-PAM shaping to improve the spectral efficiency of the MISO-VLC system with one user

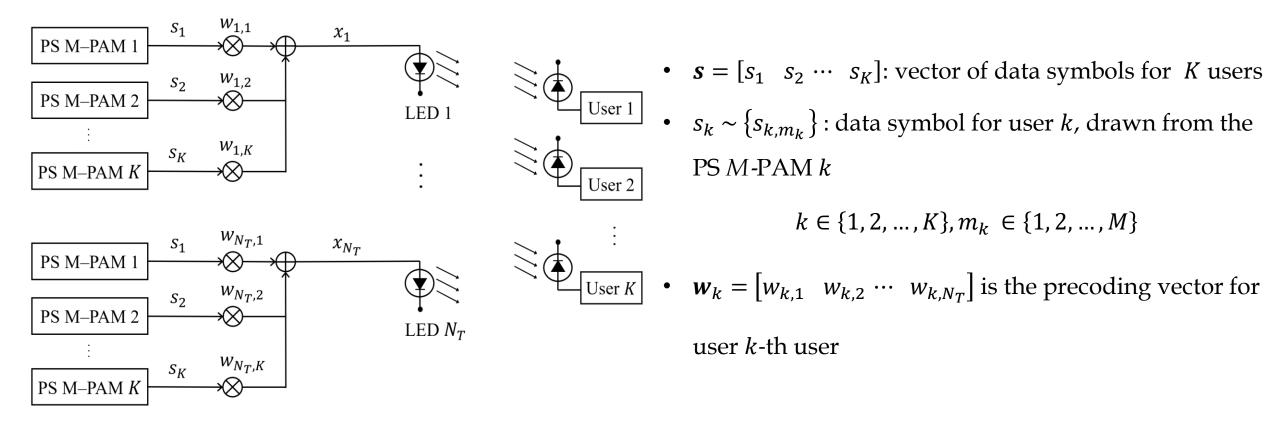
A design of probabilistic shaping and precoding for multi-user VLC systems is still missing

[1] F. Yang and Y. Dong, "Joint Probabilistic Shaping and Beamforming Scheme for MISO VLC Systems," in IEEE Wireless Communications Letters, vol. 11, no. 3, pp. 508-512, March 2022, doi: 10.1109/LWC.2021.3134268.

[2] A. Kafizov, A. Elzanaty and M. -S. Alouini, "Probabilistic Shaping-Based Spatial Modulation for Spectral-Efficient VLC," in IEEE Transactions on Wireless Communications, vol. 21, no. 10, pp. 8259-8275, Oct. 2022, doi: 10.1109/TWC.2022.3164991.

## Research proposal-System model

### □ MU-MISO VLC system with PCS

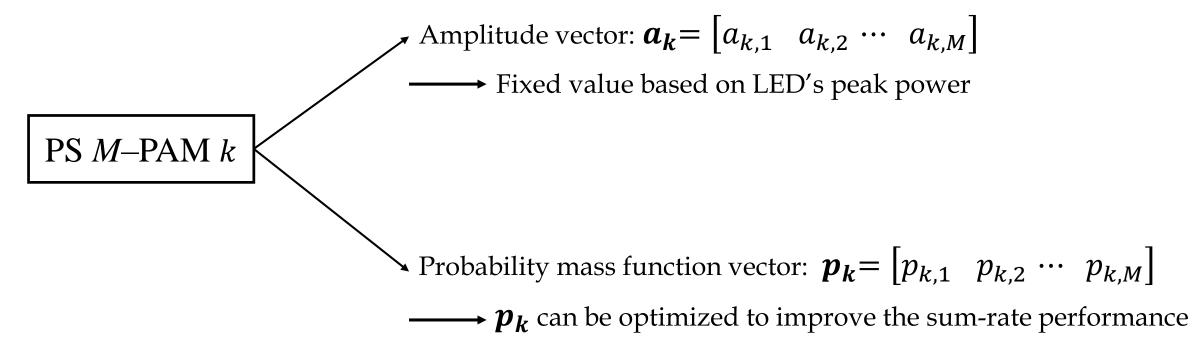


## Research proposal- System model

□ Probabilistic shaping *M*-PAM *k* 

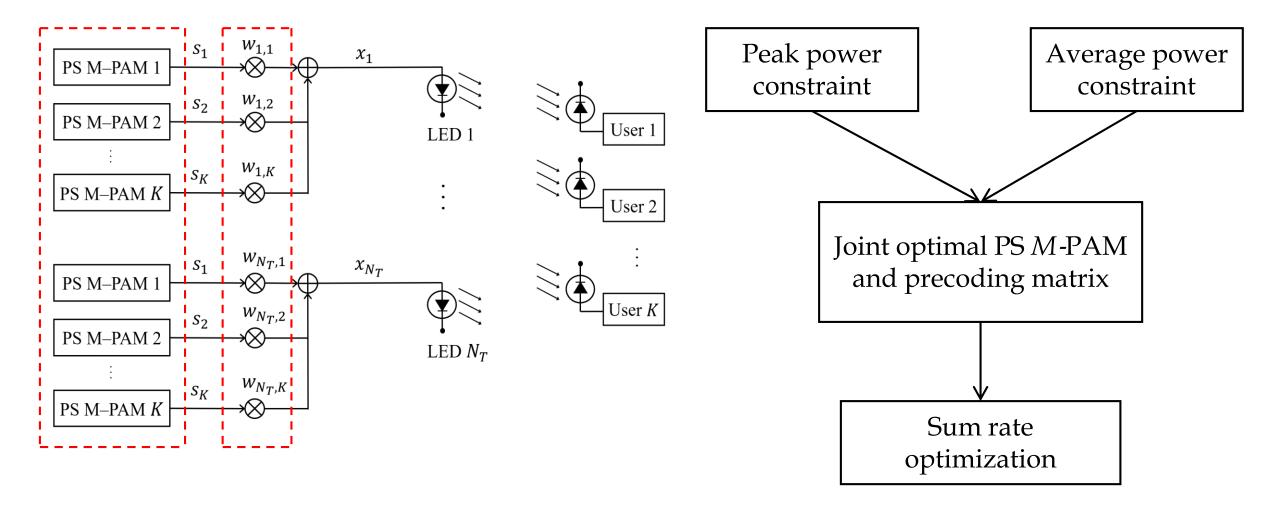
With  $k \in \{1, 2, ..., K\}, m_k \in \{1, 2, ..., M\}$ ,

 $s_k \sim \{s_{k,m_k}\}$ :  $s_k$  is a data symbol drawn from the PS *M*-PAM *k* with an amplitude  $a_{k,m_k}$  and the corresponding probability  $p_{k,m_k}$ .



13

## Research proposal - Goal



# Our approach

## □ Problem formulate

$$\mathbb{P}1: \underset{k=1}{\operatorname{maximize}} \sum_{k=1}^{K} R_{k}(\mathbf{P}, \mathbf{W}) \longrightarrow \text{Sum rate maximization}$$
subject to
$$\sum_{k=1}^{K} \mathbf{a}_{k}^{T} \mathbf{p}_{k} \mathbb{1}_{N_{T} \times 1}^{T} \mathbf{w}_{k} \leq \mathcal{E}, \longrightarrow \text{Average power constraint}$$

$$\|[\mathbf{W}]_{n,:}\|_{1} \leq 1, \forall n \in \{1, 2, \cdots, N_{T}\}, \longrightarrow \text{Peak power constraint}$$

$$\mathbf{0}_{K \times M} \leq \mathbf{P} \leq \mathbb{1}_{K \times M},$$

$$\mathbf{P} \times \mathbb{1}_{M \times 1} = \mathbb{1}_{K \times 1}, \qquad \bullet \mathbf{P} = [\mathbf{p}_{1} \quad \mathbf{p}_{2} \cdots \quad \mathbf{p}_{K}]: \text{PMF matrix of}$$

*K* PS *M*-PAM constellation

• W =  $[w_1 \ w_2 \cdots \ w_K]$ : precoding matrix

• Non-convex problem with multiple variables

## Initial results

#### □ Scenario and system parameters

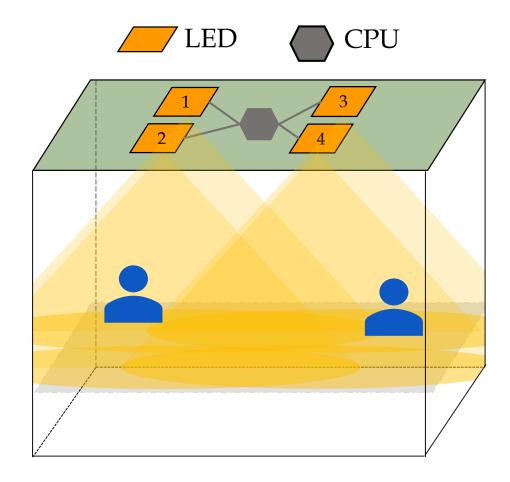
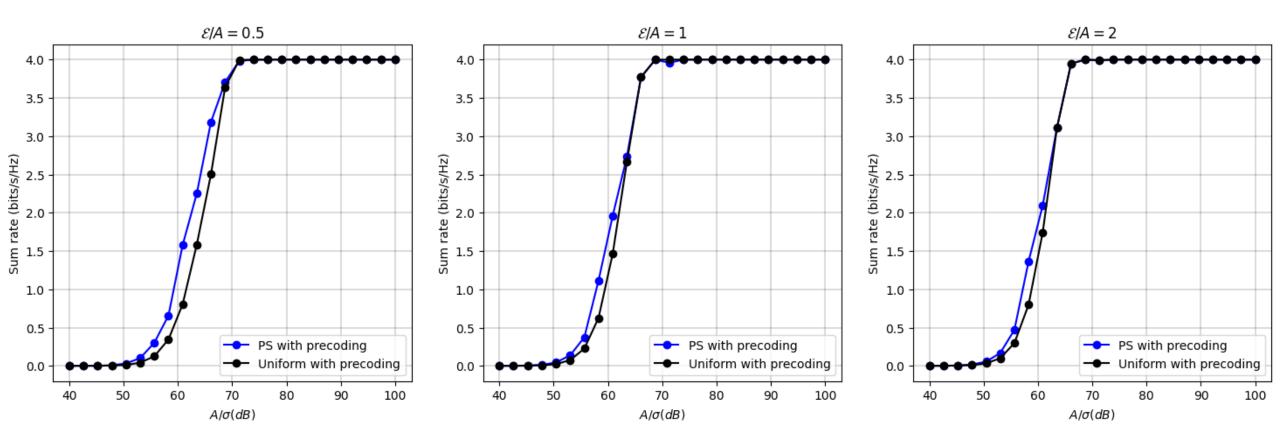


Table 1: System Parameters		
Room and LED configurations		
Room dimension (Length $\times$ Width $\times$ Height)	$5 \text{ (m)} \times 5 \text{ (m)} \times 3 \text{ (m)}$	
LED positions	luminary 1 : $(-\sqrt{2}, -\sqrt{2}, 3)$ , luminary 2 : $(\sqrt{2}, -\sqrt{2}, 3)$	
	luminary 1 : $(-\sqrt{2}, -\sqrt{2}, 3)$ , luminary 2 : $(\sqrt{2}, -\sqrt{2}, 3)$ luminary 3 : $(\sqrt{2}, \sqrt{2}, 3)$ , luminary 4 : $(-\sqrt{2}, \sqrt{2}, 3)$	
LED bandwidth, B	20 MHz	
LED beam angle, $\phi$	120° (LED Lambertian order is 1)	
LED conversion factor, $\eta$	0.44 W/A	
Receiver photodetectors		
Active area, $A_r$	1 cm <sup>2</sup>	
Responsivity, $\gamma$	0.54 A/W	
Field of view (FOV), $\Psi$	60°	
Optical filter gain, $T_s(\psi)$	1	
Refractive index of the concentrator, $\kappa$	1.5	
Noise variance, $\sigma^2$	1	
Other parameters		
Ambient light photocurrent, $\chi_{amp}$	$10.93 \text{ A}/(\text{m}^2 \cdot \text{Sr})$	
Preamplifier noise current density, $i_{amp}$	$5 \text{ pA/Hz}^{-1/2}$	

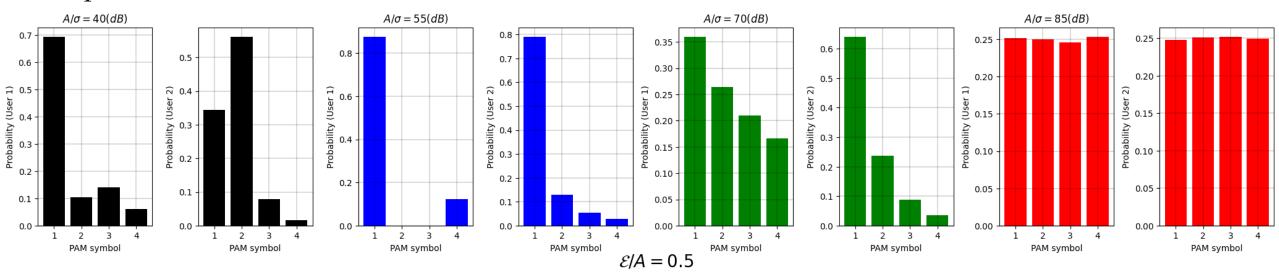
## Initial results

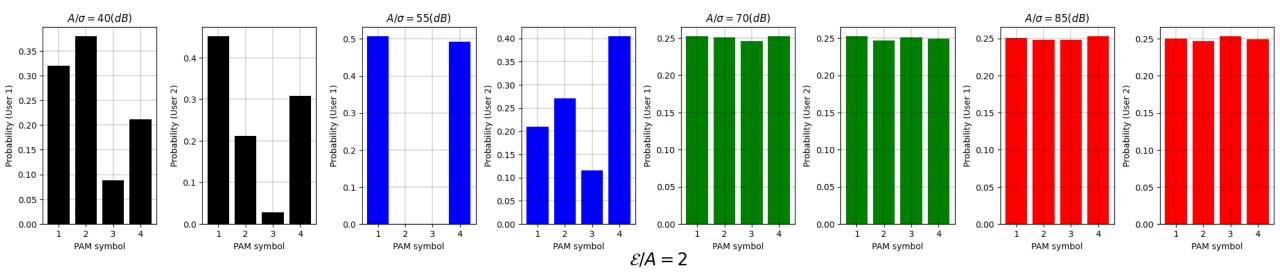
• Sum rate optimization



## Initial results

• Optimal PMF of PS 4-PAM





# Thank you for listening! Q & A

